Edgar Filing: TYLER JOSEPH E - Form 4

TYLER JOSEPH E Form 4								
August 24, 2017					OMB A	PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287 January 31,		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).								
(Print or Type Responses)								
1. Name and Address of Reporting I TYLER JOSEPH E	er Name and Ticker or T BioTherapeutics, In	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (M 901 S. MOPAC EXPRESSWAY, BARTON O PLAZA ONE, SUITE 250	(Month/I 08/22/2	of Earliest Transaction Day/Year) 2017		Director X Officer (gi below) VP		6 Owner er (specify		
(Street) AUSTIN, TX 78746		Filed(Month/Day/Year) A			Individual or Joint/Group Filing(Check plicable Line) _ Form filed by One Reporting Person _ Form filed by More than One Reporting son			
(City) (State)	(Zip) Tab	le I - Non-Derivative So	ecurities Ace	quired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed (Month/Day/Year) 4. 2. Transaction Date 24. Deemed Execution Date, if any (Month/Day/Year)		TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Dwned Following Reported Fransaction(s) Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect		
Reminder: Report on a separate line	for each class of sec			indirectly.				

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	 5. Number of ctiorDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) 		Expiration Date (Month/Day/Year))		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 3.1	08/22/2017		А	25,000		<u>(1)</u>	08/21/2027	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TYLER JOSEPH E 901 S. MOPAC EXPRESSWAY BARTON OAKS PLAZA ONE, SUITE 250 AUSTIN, TX 78746			VP, Manufacturing			
Signatures						
/s/ Charles N. York II, by power of attorney	08/24	/2017				
**Signature of Reporting Person	Da	te				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The stock option vests and becomes exercisable as to 50% of the shares subject to the option on August 22, 2018, an additional 30% of (1) the shares subject to the option on August 22, 2019, and the remaining 20% of the shares subject to the option on August 22, 2020,

subject to the continuing employment of the Reporting Person on each vesting date. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.