

Snyder Thomas James
Form 4
March 02, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Snyder Thomas James

(Last) (First) (Middle)

SILGAN CONTAINERS
LLC, 21600 OXNARD STREET -
SUITE 1600

(Street)

WOODLAND HILLS, CA 91367

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SILGAN HOLDINGS INC [SLGN]

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
President - Silgan Containers

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 02/28/2018 | | A | 70,000 | A <u>(1)</u> 137,680 | D | |
| Common Stock | 03/01/2018 | | F | 5,536 | D \$ 28.55 132,144 | D | |
| Common Stock | 03/01/2018 | | A | 15,200 | A <u>(2)</u> 147,344 <u>(3)</u> | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Snyder Thomas James SILGAN CONTAINERS LLC 21600 OXNARD STREET - SUITE 1600 WOODLAND HILLS, CA 91367 | | | President - Silgan Containers | |

Signatures

/s/ Frank W. Hogan, III, Attorney-in-fact for Thomas J. Snyder
 **Signature of Reporting Person
 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On March 1, 2017, the reporting person was granted 35,000 restricted stock units (70,000 restricted stock units following the two-for-one stock split of the Common Stock of Silgan Holdings Inc. effected on May 26, 2017) under the Silgan Holdings Inc. Amended and Restated 2004 Stock Incentive Plan, subject to the satisfaction of certain performance criteria for the Issuer's 2017 fiscal year. The performance criteria for the Issuer's 2017 fiscal year was determined to have been met upon the finalization of the Issuer's audited financial statements for 2017. Accordingly, these restricted stock units are no longer subject to cancellation. These restricted stock units vest all at once on March 1, 2022 and will be settled in shares of Common Stock on a 1-for-1 basis.
- (2) These securities are restricted stock units that were granted on March 1, 2018 under the Silgan Holdings Inc. Amended and Restated 2004 Stock Incentive Plan. These restricted stock units vest ratably over a 5 year period beginning on March 1, 2019 and will be settled in shares of Common Stock on a 1-for-1 basis upon vesting.
- (3) This amount includes 136,880 restricted stock units that are not yet vested that have been granted under the Silgan Holdings Inc. Amended and Restated 2004 Stock Incentive Plan. Upon vesting, these restricted stock units will be settled in shares of Common Stock on a 1-for-1 basis.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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