#### LANDMARK BANCORP INC

Form 5

March 06, 2015 FORM 5

**OMB APPROVAL** 

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0362 Number: January 31, Expires:

**OMB** 

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

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2005

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer **BALL RICHARD** Symbol LANDMARK BANCORP INC (Check all applicable) [LARK] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 03/03/2014 2006 BROADWAY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

### GREAT BEND, KSÂ 67530

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                                |                              |             |   |  |   |  |  |
|--------------------------------------|---|--|---|--------------------------------|------------------------------|-------------|---|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi (A) or Do (Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 03/03/2014                              | Â  | P                                       | 519                            | A                            | \$<br>19.37 | 70,962 (2)  | D  | Â   |  |  |
| Common<br>Stock                      | 05/28/2014                              | Â  | P                                       | 522                            | A                            | \$<br>19.46 | 70,962 (2)  | D  | Â   |  |  |
| Common<br>Stock                      | 08/25/2014                              | Â  | P                                       | 492                            | A                            | \$<br>20.87 | 70,962 (2)  | D  | Â   |  |  |
| Common<br>Stock                      | 11/28/2014                              | Â  | P                                       | 477                            | A                            | \$<br>21.72 | 70,962 <u>(2)</u>   | D  | Â   |  |  |

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| Common<br>Stock | 03/03/2014 | Â | P | 2   | A | \$<br>19.37 | 244 (2)    | I | Spouse        |
|-----------------|------------|---|---|-----|---|-------------|------------|---|---------------|
| Common<br>Stock | 05/28/2014 | Â | P | 2   | A | \$<br>19.46 | 244 (2)    | I | Spouse        |
| Common<br>Stock | 08/25/2014 | Â | P | 2   | A | \$<br>20.87 | 244 (2)    | I | Spouse        |
| Common<br>Stock | 11/28/2014 | Â | P | 2   | A | \$<br>21.72 | 244 (2)    | I | Spouse        |
| Common<br>Stock | 03/03/2014 | Â | P | 102 | A | \$<br>19.37 | 11,352 (2) | I | IRA           |
| Common<br>Stock | 05/28/2014 | Â | P | 102 | A | \$<br>19.46 | 11,352 (2) | I | IRA           |
| Common<br>Stock | 08/25/2014 | Â | P | 97  | A | \$<br>20.87 | 11,352 (2) | I | IRA           |
| Common<br>Stock | 11/28/2014 | Â | P | 94  | A | \$<br>21.72 | 11,352 (2) | I | IRA           |
| Common<br>Stock | 03/03/2014 | Â | P | 7   | A | \$<br>19.37 | 823 (2)    | I | Spouse<br>IRA |
| Common<br>Stock | 05/28/2014 | Â | P | 7   | A | \$<br>19.46 | 823 (2)    | I | Spouse<br>IRA |
| Common<br>Stock | 08/25/2014 | Â | P | 7   | A | \$<br>20.87 | 823 (2)    | I | Spouse<br>IRA |
| Common<br>Stock | 11/28/2014 | Â | P | 7   | A | \$<br>21.72 | 823 (2)    | I | Spouse<br>IRA |
| Common<br>Stock | 03/03/2014 | Â | P | 74  | A | \$<br>19.37 | 8,251 (2)  | I | Pension       |
| Common<br>Stock | 05/28/2014 | Â | P | 75  | A | \$<br>19.46 | 8.251 (2)  | I | Pension       |
| Common<br>Stock | 08/25/2014 | Â | P | 70  | A | \$<br>20.87 | 8,251 (2)  | I | Pension       |
| Common<br>Stock | 11/28/2014 | Â | P | 68  | A | \$<br>21.72 | 8,251 (2)  | I | Pension       |
| Common<br>Stock | 03/03/2014 | Â | P | 4   | A | \$<br>19.37 | 488 (2)    | I | As<br>Trustee |
| Common<br>Stock | 05/28/2014 | Â | P | 4   | A | \$<br>19.46 | 488 (2)    | I | As<br>Trustee |
| Common<br>Stock | 08/25/2014 | Â | P | 4   | A | \$<br>20.87 | 488 (2)    | I | As<br>Trustee |
| Common<br>Stock | 11/28/2014 | Â | P | 4   | A | \$<br>21.72 | 488 (2)    | I | As<br>Trustee |
|                 | Â          | Â | Â | Â   | Â | Â           | 8,428 (2)  | I |               |

Common See Stock Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|---|---|---------------------|--------------------|-------|--|---|
|   |   |   |   | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |

## **Reporting Owners**

| Reporting Owner Name / Address                        | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| 1 0   | Director      | 10% Owner | Officer | Other |  |  |  |
| BALL RICHARD<br>2006 BROADWAY<br>GREAT BEND, KS 67530 | ÂΧ            | Â         | Â       | Â     |  |  |  |

## **Signatures**

Mark Herpich as Attorney in Fact for Richard Ball 03/06/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held by a company in which Mr. Ball has a controlled position or interest in.
- (2) As adjusted for the Company's 5% stock dividend.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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