Edgar Filing: ENERGY FOCUS, INC/DE - Form 4

ENERGY FOCU Form 4	US, INC/DE												
October 14, 200	8												
FORM 4	1									OMB A	PPROVA	AL.	
	UNITED	STATES		RITIES A shington			ANGE	COMM	ISSION	OMB Number:	3235	0287	
Check this be if no longer subject to Section 16. Form 4 or	S CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						IIP OF	Expires: January 2 Extimated average burden hours per response					
Form 5 obligations may continue <i>See</i> Instruction 1(b).	Section 17(Public U		ding C	Compan	ny Act	of 1935 o		1			
(Print or Type Resp	onses)												
1. Name and Address of Reporting Person <u>*</u> Kasper Michael A			2. Issuer Name and Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI]					5. Relationship of Reporting Person(s) to Issuer					
									(Checl	k all applicabl	c all applicable)		
(Last) (First) (Middle) 32000 AURORA ROAD			3. Date of Earliest Transaction (Month/Day/Year) 10/01/2008				X_ Director 10% Owner Officer (give title Other (specify below) below)						
	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line)_X_ Form filed by One Reporting Person									
SOLON, OH 44	4139							Forn Person	n filed by M	lore than One R	eporting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivat	ive Secu	rities A	cquired, Di	isposed of	, or Beneficia	lly Owne	d	
	ransaction Date onth/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Dispos (Instr.	red (A) o sed of (D 3, 4 and (A) or))	5. Amount Securities Beneficiall Owned Following Reported Transaction (Instr. 3 an	For the second s	Ownership orm: Direct O) or Indirect) nstr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al ip	
Reminder: Report of	on a separate line	e for each cla	ass of sec	urities bene	ficially	owned d	irectly o	or indirectly					
					info req dis	ormatio juired to	on cont o respo	pond to th ained in th ond unless ntly valid (nis form a s the forn	are not n	SEC 1474 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(Inst

	Derivative Security			(D)	Instr. 3, 4,						
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option	\$ 2.1	10/01/2008	А		10,000		<u>(1)</u>	(2)	Common Stock	10,000	9

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer Other					
Kasper Michael A 32000 AURORA ROAD SOLON, OH 44139	Х							
Signatures								
/s/ Michael A.								

Kasper 10/02/2008 **Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable when vested; vests in 12 equal installments commencing one month from the date of the grant, becoming fully exercisable on 10/01/2009.
- (2) Expires on 09/30/2018, or 12 months after Michael A. Kasper ceases to serve as a director of Energy Focus, Inc., if earlier.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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