Edgar Filing: KAVESKI JOSEPH G. - Form 4

KAVESKI JOSEPH G. Form 4 June 02, 2009 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). OMB APPROVAL Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940) State Automatical Action 1940)						
(Print or Type Responses)						
1. Name and Address of Reporting Person <u>*</u> KAVESKI JOSEPH G. (Last) (First) (Middle)	 Issuer Name and Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI] Date of Earliest Transaction 	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
ENERGY FOCUS, INC., 32000 AURORA ROAD	(Month/Day/Year) 05/29/2009	X Director 10% Owner X Officer (give title Other (specify below) below) Chief Executive Officer				
(Street) SOLON, OH US 44139	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip)	Table I - Non-Derivative Securities Acc	juired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Dec Executi any (Month	emed 3. 4. Securities Acquired	5. Amount of Securities6.7. Nature of IndirectBeneficiallyForm: DirectBeneficial OwnershipOwned(D) orOwnershipFollowingIndirect (I)(Instr. 4)Reported(Instr. 4)Transaction(s)(Instr. 3 and 4)				
Commom 05/29/2009 Stock	A 23,679 A ^{\$} 0.67	23,679 D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Add	ress	Relationships				
	Director	10% Owner	Officer	Other		
KAVESKI JOSEPH G. ENERGY FOCUS, INC. 32000 AURORA ROAD SOLON, OH US 44139	х		Chief Executive Officer			
Signatures						
Joseph G. Kaveski	06/02/2009					

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

(a) The shares are subject to restrictions: (i) The holder will forfeit his rights in his shares if he leaves the employ of the compa

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.