### Edgar Filing: WOLFSON PHILIP - Form 4

WOL FOON DIT

| Form 4   | HILIP                                 |                   |  |  |                   |        |   |  |  |          |  |
|--|---------------------------------------|-------------------|--|--|-------------------|--------|---|--|--|----------|--|
| June 02, 2009<br>FORM 4<br>Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940<br>1940 |                                       |                   |  |  |                   |        | OMB APPROVAL<br>OMB 3235-0287<br>Number: January 31,<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |  |  |          |  |
| (Print or Type Res   | sponses)                              |                   |  |  |                   |        |   |  |  |          |  |
| WOLFSON PHILIP Sy  |                                       |                   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>ENERGY FOCUS, INC/DE [EFOI] |  |                   |        | -   | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |          |  |
| (Last) (First) (Middle) 3. Dat<br>(Mont  |                                       |                   | (Month/Da  | Date of Earliest Transaction<br>Ionth/Day/Year)<br>5/29/2009 |                   |        |   | (Check all applicable)<br>Director 10% Owner<br>Officer (give title below) Other (specify below)                   |  |          |  |
|  |                                       |                   |  | endment, Date Original<br>onth/Day/Year)                     |                   |        |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |  |          |  |
| SOLON, OH  | US 44139                              |                   |  |  |                   |        |   |  | More than One Re   |          |  |
| (City)   | (State)                               | (Zip)             | Table  | e I - Non-De   | erivative S       | Securi | ties Acq  | uired, Disposed of   | f, or Beneficial   | ly Owned |  |
|  | 2. Transaction Dat<br>Month/Day/Year) | ) Executio<br>any | med<br>on Date, if<br>Day/Year)  | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V             | (D)<br>(Instr. 3, | sposed | d of  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |          |  |
| Common<br>Stock  | 05/29/2009                            |                   |  | A  | 2,002             | A A    | \$<br>0.67  | 2,002  | D  |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Addre  | ess        | Relationships |         |       |  |  |  |  |
|---|------------|---------------|---------|-------|--|--|--|--|
|   | Director   | 10% Owner     | Officer | Other |  |  |  |  |
| WOLFSON PHILIP<br>ENERGY FOCUS, INC.<br>32000 AURORA ROAD<br>SOLON, OH US 44139 | Х          |               |         |       |  |  |  |  |
| <b>Signatures</b><br>Phillip E.<br>Wolfson                                      | 06/02/2009 |               |         |       |  |  |  |  |
|   |            |               |         |       |  |  |  |  |

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### **Remarks:**

(a) The shares are subject to restrictions: (i) The holder will forfeit his rights in his shares if he leaves the employ of the compa

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.