KAVESKI JOSEPH G.

Form 4/A

November 06, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

3235-0287 Number: January 31, Expires:

2005

OMB APPROVAL

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and A KAVESKI J	ddress of Reporting Per OSEPH G.	Symbol	Name and Ticker or Trading	Issuer	5. Relationship of Reporting Person(s) to Issuer			
		ENERG	Y FOCUS, INC/DE [EFO	[] (Che	ck all applicable)			
(Last)	(First) (Mid	ddle) 3. Date of	Earliest Transaction					
		(Month/Da	•	_X_ Director	10% Owner			
	OCUS, INC., 3200	0 10/30/20	009	_X_ Officer (give below)	ve title Other (specify below)			
AURORA R	ROAD			· · · · · · · · · · · · · · · · · · ·	Executive Officer			
	(Street)	4. If Amer	ndment, Date Original	6. Individual or J	oint/Group Filing(Check			
		Filed(Mont	th/Day/Year)	Applicable Line)				
		11/06/20	009		One Reporting Person More than One Reporting			
SOLON, OF	H US 44139			Person	whole than One Reporting			
(City)	(State) (Zi	ip) Table	e I - Non-Derivative Securities	Acquired, Disposed o	of, or Beneficially Owned			
1.Title of	2. Transaction Date	2A. Deemed	3. 4. Securities Acqui	red 5. Amount of	6. 7. Nature of			
Security	` '	Execution Date, if	Transaction(A) or Disposed of		Ownership Indirect			
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)	Beneficially Owned	Form: Direct Beneficial (D) or Ownership			
		(Wollin Day Tear)	(111511. 0)	Following	Indirect (I) (Instr. 4)			
			(A)	Reported	(Instr. 4)			
			or	Transaction(s)				
			Code V Amount (D) I	rice (Instr. 3 and 4)				
Commom Stock	10/30/2009		$J_{(1)}^{(1)}$ 11,766 A $^{\$}$	35,445	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

0.75

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amour		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
					,)						
									Amount		
						Date	Expiration		or		
						Exercisable	•	Title Numbe	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
noporous o water runte / runte oo	Director	10% Owner	Officer	Other		
KAVESKI JOSEPH G.						
ENERGY FOCUS, INC.	X		Chief Evenutive Officer			
32000 AURORA ROAD	Λ		Chief Executive Officer			
SOLON, OH US 44139						

Signatures

Joseph G.
Kaveski 11/06/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of subscription rights to purchase shares of Common Stock distributed to all shareholders in a public subscription rights offering. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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