Edgar Filing: KAVESKI JOSEPH G. - Form 4

KAVESKI JOS Form 4	EPH G.									
March 01, 2010										
FORM 4	л								PPROVAL	
	UNITED	STATES					COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. SECURITIES							Expires: Estimated burden hou response	urs per		
(Print or Type Resp	oonses)									
1. Name and Address of Reporting Person <u>*</u> KAVESKI JOSEPH G.			2. Issuer Name and Ticker or Trading Symbol ENERGY FOCUS, INC/DE [EFOI]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (Middle)	3. Date of	of Earliest T	ransaction		(Check an applicable)			
ENERGY FOCUS, INC., 32000 AURORA ROAD			(Month/Day/Year) 02/25/2010			X Director 10% Owner X Officer (give title Other (specify below) below) Chief Executive Officer				
				. If Amendment, Date Original iled(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
SOLON, OH U	JS 44139						Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed o	f, or Beneficia	llv Owned	
	Fransaction Date onth/Day/Year)	Execution any	ed Date, if	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed	ies (A) or of (D)	5. Amount of Securities I Beneficially (Owned (6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Reminder: Report	on a senarate line	e for each cl	ass of sec	urities hene	ficially ow	ned directly	or indirectly			
Kenniker, Keport	on a separate fille		435 01 500		Perso inforn requir	ns who rest nation cont ed to resp sys a curre	spond to the collect tained in this form ond unless the for ntly valid OMB cor	are not m	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: KAVESKI JOSEPH G. - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A Disposed o (Instr. 3, 4, 5)	f (D)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Options for Common Stock	\$ 1.02	02/25/2010		A	150,000		<u>(1)</u>	02/25/2020	Common Stock	150,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
I State and a state	Director	10% Owner	Officer	Other			
KAVESKI JOSEPH G. ENERGY FOCUS, INC. 32000 AURORA ROAD SOLON, OH US 44139	Х		Chief Executive Officer				
Signatures							
Joseph G.							

Joseph G.	
Kaveski	03/01/2010
**Signature of	Date
Reporting Person	

revenue and cash from operations.

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Twenty-five percent become exercisable on 02/25/2011, and the remaining 75% become exercisable in equal monthly installments over (1) the following 36 months. Stock options are performance based and exercisable only upon the attainment of specific milestones for

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.