

Limoneira CO
Form 8-K
March 25, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 22, 2016

Limoneira Company

(Exact name of registrant as specified in its charter)

Delaware	001-34755	77-0260692
(State or other jurisdiction of incorporation)	(Commission File Number)	(I.R.S. Employer Identification No.)

1141 Cummings Road

Santa Paula, CA 93060

(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: **(805) 525-5541**

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Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 5 Corporate Governance and Management

Item 5.07 Submission of Matters to a Vote of Security Holders

On March 22, 2015, Limoneira Company (the “Company”) held its 2016 Annual Meeting of Stockholders (the “Annual Meeting”) at the Museum of Ventura County Agriculture Museum, 926 Railroad Avenue, Santa Paula, California 93060. A total of 14,171,976 shares of the Company’s common stock (“Common Stock”), 29,500 shares of its Series B 8.75% Convertible Preferred Stock, \$100.00 Par Value, each of which gets 10 votes for a total of 295,000 (“Series B Preferred Stock”) and 9,300 shares of its Series B-2 4% Convertible Preferred Stock, \$100 Par Value, each of which gets one vote (“Series B-2 Preferred Stock”), were issued and outstanding and entitled to vote as of February 12, 2016, the record date for the Annual Meeting. There were 11,218,417 shares of Common Stock, 17,832 shares (or 178,320 votes) of Series B Preferred Stock and 0 shares of Series B-2 Preferred Stock present in person or by proxy at the Annual Meeting, representing approximately 78.73% of the total shares of capital stock outstanding, which constituted a quorum. The stockholders were asked to vote on three (3) proposals, with Common Stock, Series B Preferred Stock and Series B-2 Preferred Stock voting together as a single class. Set forth below are the matters acted upon by the stockholders and the final voting results of each such proposal.

Proposal 1: Election of Directors

The following votes were cast with respect to the election of the following nominees as Directors of the Company to hold office for a three-year term, ending at the 2019 Annual Meeting:

	Shares Voted		
	<u>For</u>	<u>Withheld Non-Votes</u>	
Lecil E. Cole	10,747,195	584,112	3,546,610
Gordon E. Kimball	5,552,838	556,699	3,546,610
Scott S. Slater	5,532,206	577,331	3,546,610

Based on the votes set forth above, each of the nominees set forth above were duly elected to serve as Directors of the Company for a three-year term, ending at the 2019 Annual Meeting.

Proposal 2: Ratification of Selection of Independent Auditor

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The ratification of the appointment of Ernst & Young LLP as independent registered public accounting firm for the Company for the fiscal year ending October 31, 2016 received the following votes:

Shares Voted

For **Against** **Abstain**

11,188,910 133,204 74,623

Based on the votes set forth above, the appointment of Ernst & Young LLP as the independent auditor for the Company to serve for the fiscal year ending October 31, 2016 was duly ratified by the stockholders.

Proposal 3: Advisory Vote on Executive Compensation

The compensation of the named executive officers as disclosed in the Company's Proxy Statement pursuant to Item 402 of Regulation S-K under the Securities Act of 1933, as amended, and the Securities Exchange Act of 1934, as amended, was approved on a non-binding, advisory basis by the stockholders by the votes set forth in the table below.

Shares Voted

<u>For</u>	<u>Against</u>	<u>Abstain</u>	<u>Non-Votes</u>
6,765,060	744,624	340,443	3,546,610

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: March 25, 2016

LIMONEIRA
COMPANY

By: /s/ Joseph D. Rumley

Joseph D. Rumley
Chief Financial Officer,
Treasurer and Corporate
Secretary