

Schrepfer Robert W  
 Form 4  
 March 14, 2019

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Schrepfer Robert W

2. Issuer Name and Ticker or Trading Symbol  
 ANI PHARMACEUTICALS INC  
 [ANIP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 03/12/2019

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 SVP - New Bus Dev & Spec Sales

C/O ANI PHARMACEUTICALS, INC., 210 MAIN STREET WEST

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

BAUDETTE, MN 56623

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount (D) or Price (A)   |  |                                   |
| Common Stock                    | 03/12/2019                           |  | M                              |   | 5,642 A \$ 28.76  | D  |                                   |
| Common Stock                    | 03/12/2019                           |  | S                              |   | 5,642 D \$ 64.4414  | D  |                                   |
| Common Stock                    | 03/12/2019                           |  | M                              |   | 1,503 A \$ 33   | D  |                                   |
| Common Stock                    | 03/12/2019                           |  | S                              |   | 1,503 D \$ 64.5   | D  |                                   |
| Common Stock                    | 03/13/2019                           |  | M                              |   | 4,651 A \$ 33   | D  |                                   |

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Common Stock 03/13/2019 S 4,651 D \$ 64.4261 47,842 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Option to Purchase                         | \$ 28.76   | 03/12/2019                           |  | M                              | 5,642   | <u>(1)</u> 08/19/2024                                    | Common Stock  | \$ 5,642                      |
| Option to Purchase                         | \$ 33  | 03/12/2019                           |  | M                              | 1,503   | <u>(2)</u> 03/31/2024                                    | Common Stock  | 1,503                         |
| Option to Purchase                         | \$ 33  | 03/13/2019                           |  | M                              | 4,651   | <u>(2)</u> 03/31/2024                                    | Common Stock  | 4,651                         |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| Schrepfer Robert W<br>C/O ANI PHARMACEUTICALS, INC.<br>210 MAIN STREET WEST<br>BAUDETTE, MN 56623 |               |           | SVP - New Bus Dev & Spec Sales |       |

## Signatures

/s/ Robert Schrepfer 03/14/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vests and are exercisable over a four year period beginning on the first anniversary of the August 20, 2014.
- (2) Option vests and are exercisable over a four year period beginning on the first anniversary of the April 1, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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