

New Mountain Finance Holdings, L.L.C.

Form 8-K

January 03, 2013

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to section 13 or 15(d) of the  
Securities Exchange Act of 1934**

**Date of Report (Date of Earliest Event Reported): January 3, 2013 (January 3, 2013)**

**New Mountain Finance Corporation**

**(Exact name of registrant as specified in its charter)**

**Delaware**

**814-00832 27-2978010**

**(Commission (IRS Employer**

**(State or other jurisdiction of  
incorporation or organization) File Number) Identification Number)**

**787 7<sup>th</sup> Avenue, 48<sup>th</sup> Floor, New York, NY 10019**

**(Address of principal executive offices)**

**Co-Registrant's telephone number, including area code (212) 730-0300**

**New Mountain Finance Holdings, L.L.C.**

**(Exact name of co-registrant as specified in its charter)**

**Delaware**

**814-00839 26-3633318**

**(State or other jurisdiction of (Commission (IRS Employer**

**incorporation or organization) File Number) Identification Number)**

**787 7<sup>th</sup> Avenue, 48<sup>th</sup> Floor, New York, NY 10019**

**(Address of principal executive offices)**

**Co-Registrant's telephone number, including area code (212) 730-0300**

**New Mountain Finance AIV Holdings Corporation**

**(Exact name of co-registrant as specified in its charter)**

**Delaware**

**814-00902 80-0721242**

**(State or other jurisdiction of (Commission (IRS Employer**

**incorporation or organization) File Number) Identification Number)**

**787 7<sup>th</sup> Avenue, 48<sup>th</sup> Floor, New York, NY 10019**  
**(Address of principal executive offices)**

**Co-Registrant's telephone number, including area code (212) 730-0300**

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers**

On January 3, 2013, the Boards of Directors (the "Boards") of New Mountain Finance Corporation, New Mountain Finance Holdings, LLC and New Mountain Finance AIV Holdings Corporation (collectively referred to as the "New Mountain Finance Entities") approved a number of key promotions.

John R. Kline, a Managing Director of New Mountain Capital, L.L.C., has been appointed as Executive Vice President and Chief Operating Officer of each of the New Mountain Finance Entities. Mr. Kline, 37, has held various titles at New Mountain Capital, L.L.C. since 2008. Prior to joining New Mountain Capital, L.L.C. in 2008, he worked at GSC Group from 2001 to 2008 as an investment analyst and trader for GSC Group's control distressed and corporate credit funds. From 1999 to 2001, Mr. Kline was with Goldman, Sachs & Co. where he worked in the Credit Risk Management and Advisory Group. Mr. Kline received an A.B. degree in History from Dartmouth College.

David M. Cordova, formerly the BDC Finance Director of the New Mountain Finance Entities, has been appointed as Chief Financial Officer and Treasurer of each of the New Mountain Finance Entities, succeeding Adam B. Weinstein. Mr. Cordova, 31, joined the New Mountain Finance Entities in 2012. Prior to joining New Mountain, he worked for Starwood Property Trust, Inc., an externally managed mortgage REIT of Starwood Capital Group, as Manager of Financial Reporting. Mr. Cordova was responsible for all SEC Reporting and assisted with investor relations, earnings forecasting, portfolio investment modeling and supplemental equity offerings. Before joining Starwood in 2010, Mr. Cordova worked as a Manager in Ernst & Young's Audit and Assurance practice. Mr. Cordova is a CPA licensed in the state of Virginia and received a B.A. in Accounting from James Madison University.

Mr. Weinstein has been promoted to an oversight role as Executive Vice President and Chief Administrative Officer of each of the New Mountain Finance Entities, in addition to Mr. Weinstein's position as a member of the Boards of each of the New Mountain Entities. Prior to Mr. Cordova's appointment to succeed him, Mr. Weinstein, 33, served as Chief Financial Officer and Treasurer of New Mountain Finance Corporation since July 2010, of the New Mountain Finance Holdings, L.L.C. since September 2010 and of New Mountain Finance AIV Holdings Corporation since May 2011. Mr. Weinstein serves as a Managing Director of New Mountain Capital, L.L.C. and has been in various roles since joining in 2005. Prior to joining New Mountain Capital, L.L.C. in 2005, Mr. Weinstein was a Manager at Deloitte & Touche, LLP and worked in that firm's merger and acquisition and private equity investor services areas. Mr. Weinstein sits on a number of boards of directors for professional and non-profit organizations. Mr. Weinstein received his B.S. from Binghamton University, is a member of the AICPA and is a New York State Certified Public Accountant.

Messrs. Kline, Cordova and Weinstein were not appointed as officers of each of the New Mountain Finance Entities pursuant to any arrangement or understanding with any other person.

The New Mountain Finance Entities issued a press release announcing the appointment of these officers, a copy of which is attached hereto as Exhibit 99.1.

**Item 9.01. Financial Statements and Exhibits.**

d) Exhibits.

Exhibit

Number Description

99.1 Press Release, dated January 3, 2013



**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this Current Report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

NEW MOUNTAIN  
FINANCE CORPORATION

NEW MOUNTAIN  
FINANCE HOLDINGS,  
L.L.C.

NEW MOUNTAIN  
FINANCE AIV HOLDINGS  
CORPORATION

Date: January 3, 2013 By: /s/ Paula A. Bosco  
Name: Paula A. Bosco  
Title: Secretary