Edgar Filing: Lawson Scott P - Form 4

| Lawson Sco Form 4 | ott P | | | | | | | | | | |
|---|---|-------------|---|--|--|------------------------|-------------------------------|--|--|---|--|
| January 03, | _ | | | | | | | | OMB AF | PROVAL | |
| FORM | AITIES AND EXCHANGE COMMISSION shington, D.C. 20549 | | | | | OMB Number: | 3235-0287 | | | | |
| Check the check | aar | | | _ | | | | Expires: | January 31, 2005 | | |
| subject Section Form 4 | 16. SIAIE N | AENT O | F CHAN | ANGES IN BENEFICIAL OWN SECURITIES | | | | ERSHIP OF | Estimated a burden hour response | verage | |
| Form 5 obligation may cor <i>See</i> Inst 1(b). | ons Section 17(| a) of the l | o Section 16(a) of the Securities Exchange Act of 1934, he Public Utility Holding Company Act of 1935 or Section h) of the Investment Company Act of 1940 | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Lawson Scott P | | | 2. Issuer Name and Ticker or Trading Symbol NEWMONT MINING CORP /DE/ | | | | 8 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | [NEM] | | | | | (Cheek | |) | |
| (Last) (First) (Middle) 6363 S. FIDDLER'S GREEN CIRCLE | | | 3. Date of Earliest Transaction (Month/Day/Year) 01/02/2019 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) below) EVP & Chief Technology Officer | | | |
| CIICOLL | (Street) 4. If Am | | | nendment, Date Original Ionth/Day/Year) | | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | |
| GREENW VILLAGE | | | | | | | | Form filed by Me Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secu | rities Acqu | iired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if | | 4. Securit ord Dispos (Instr. 3, | sed of 4 and (A) | | Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock, \$1.60 par value | 01/02/2019 | | | Code V S | Amount 5,000 (1) | or (D) D | Price \$ 34.2735 (2) | (Instr. 3 and 4) 79,091 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | ınt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---------------------------------------|---------------|-----------|-------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Lawson Scott P | | | EVP & Chief | | | | |
| 6363 S. FIDDLER'S GREEN CIRCLE | | | Technology | | | | |
| GREENWOOD VILLAGE, CO 80111 | | | Officer | | | | |
| Signatures | | | | | | | |

Logan H. Hennessey , as attorney-in-fact for Scott P. Lawson

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan.

This transaction was executed in multiple trades at prices ranging from \$33.95 to \$34.57. The price reported reflects the weighted average(2) sales price. This reporting person undertakes to provide (upon request by the SEC staff, the issuer, or a security holder of the issuer) full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

01/03/2019

Date