Edgar Filing: WEST BANCORPORATION INC - Form 4

WEST BANCORPORATION INC Form 4 November 25, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading NELSON DAVID D Issuer Symbol WEST BANCORPORATION INC (Check all applicable) [WTBA] (Last) (First) (Middle) 3. Date of Earliest Transaction _X_ Director 10% Owner Other (specify X_Officer (give title (Month/Day/Year) below) below) 1601 22ND STREET 10/15/2014 **CEO & PRESIDENT** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting WEST DES MOINES, IA 50266 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price COMMON \$ 0⁽¹⁾ 10/15/2014 G 1,097 D 75,781 D STOCK \$ COMMON 11/19/2014 L 76.091 D 310 Α 16.13 STOCK (2)By Katherine COMMON \$ 0⁽¹⁾ 13,588 10/15/2014 G 1,097 Ι Α STOCK A. Nelson Rev Trust L 119 I COMMON 11/19/2014 Α \$ 13,707 By

16.26

STOCK

Katherine

		Lugarrin	Ig. WEOT BAIL								
					(2)					Velson Trust	
Reminder: R	Report on a sep	parate line for each cla	ass of securities benef			or indirectly.	e collectior	ו of	SEC 14	74	
information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.)2)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transactio Code (Instr. 8)	of		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
NELSON DAVID D 1601 22ND STREET WEST DES MOINES, IA 50266	Х		CEO & PRESIDENT			

Signatures

Marie I. Roberts, By Power of			
Attorney	11/25/2014		
**Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 1,097 shares were transferred to the Katherine A. Nelson Revocable Trust. (1)
- (2) Shares acquired pursuant to reinvestment of dividends received into purchases of new shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.