

NELSON DAVID D
Form 4
August 24, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
NELSON DAVID D

2. Issuer Name and Ticker or Trading Symbol
WEST BANCORPORATION INC
[WTBA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1601 22ND STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/22/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO & PRESIDENT

WEST DES MOINES, IA 50266

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| COMMON STOCK | 08/22/2018 | | L | 350 ⁽¹⁾ A \$ 24.66 | 88,075 | D | |
| COMMON STOCK | | | | | 39,084 | I | By Katherine A. Nelson Rev Trust |
| COMMON STOCK | | | | | 436 ⁽²⁾ | I | By Nicholas Robert Nelson Roth IRA |

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- (1) Shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (2) 4 shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (3) 1 share acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (4) 179 shares were acquired pursuant to dividend reinvestment purchases in the employee savings plan 401(k) during the period from April 1, 2018 through June 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.