

Casteel Marty  
Form 4  
January 18, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Casteel Marty

2. Issuer Name **and** Ticker or Trading  
Symbol  
SIMMONS FIRST NATIONAL  
CORP [SFNC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
SIMMONS FIRST NATIONAL  
CORP, 501 MAIN STREET

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/16/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
SEVP

(Street)  
PINE BLUFF, AR 71601

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |                  | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) Price |                                                                                               |                                                          |                                                       |
| SFNC                            | 01/16/2019                           |                                                    | A                              |                                                                   | 9,040  | A \$ 26.27       | 104,879                                                                                       | D                                                        |                                                       |
| SFNC                            | 01/16/2019                           |                                                    | F                              |                                                                   | 4,302  | D \$ 26.27       | 100,577                                                                                       | D                                                        |                                                       |
| SFNC                            | 01/17/2019                           |                                                    | M                              |                                                                   | 1,576  | A \$ 26.43       | 102,153                                                                                       | D                                                        |                                                       |
| SFNC                            | 01/17/2019                           |                                                    | F                              |                                                                   | 714    | D \$ 26.43       | 101,439                                                                                       | D                                                        |                                                       |
| SFNC                            |                                      |                                                    |                                |                                                                   |        |                  | 8,306 <sup>(1)</sup>                                                                          | D                                                        |                                                       |

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|      |                      |   |
|------|----------------------|---|
| SFNC | 5,230 <sup>(2)</sup> | D |
| SFNC | 22,434               | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code V (A) (D)                 |                                                                                         | Date Exercisable Expiration Date                         | Title                                                         | Amount or Number of Shares                                  |
| Restricted Stock Unit                      | \$ 26.43                                               | 01/17/2019                           |                                                    | M                              | 1,576 <sup>(3)</sup>                                                                    | <sup>(4)</sup> <sup>(4)</sup>                            | Common                                                        | 1,576 <sup>(3)</sup> \$ 2                                   |

## Reporting Owners

| Reporting Owner Name / Address                                                          | Relationships                    |
|-----------------------------------------------------------------------------------------|----------------------------------|
|                                                                                         | Director 10% Owner Officer Other |
| Casteel Marty<br>SIMMONS FIRST NATIONAL CORP<br>501 MAIN STREET<br>PINE BLUFF, AR 71601 | SEVP                             |

## Signatures

/s/ Marty Casteel by Piper P. Erwin 01/18/2019

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This number reflects the acquisition of 42 shares pursuant to the Company's Dividend Reinvestment Plan.
- (2) Total share amount increased by 654 shares due to company's Employee Stock Purchase Plan.

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- (3) On February 8, 2018, the Company effected a 2-for-1 stock split. The number of shares/units reported in this Form 4 has been adjusted to reflect the stock split.
- (4) The Restricted Stock Unit vested on January 17, 2019.
- (5) The balance of the Restricted Stock Unit vests on January 17, 2020. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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