

RETRACTABLE TECHNOLOGIES INC  
Form 4  
November 16, 2011

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SHAW THOMAS J

2. Issuer Name and Ticker or Trading Symbol  
RETRACTABLE TECHNOLOGIES INC [RVP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
511 LOBO LANE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/14/2011

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

LITTLE ELM, TX 75068

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/14/2011		J		5,000	A	① 7,734,035
Common Stock	11/14/2011		J		81,607	A	② 7,815,642
Common Stock							3,800,000
						I	Voting or Investment Control <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Series IV Class B Convertible Preferred Stock	\$ 0	11/14/2011		J	5,000	(4) (5)	Common Stock	5,000
Series V Class B Convertible Preferred Stock	\$ 0	11/14/2011		J	81,607	(4) (5)	Common Stock	81,607

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHAW THOMAS J 511 LOBO LANE LITTLE ELM, TX 75068	X	X	President and CEO	

## Signatures

Thomas J. Shaw                      11/16/2011  
 \_\_Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired in exchange for 5,000 shares of Series IV Class B Convertible Preferred Stock pursuant to an issuer tender offer dated September 12, 2011.
- (2) These shares were acquired in exchange for 81,607 shares of Series V Class B Convertible Preferred Stock pursuant to an issuer tender offer dated September 12, 2011.

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- (3) Mr. Shaw has investment power over 1,000,000 shares as Trustee for the benefit of immediate family members. Mr. Shaw has voting control over the remainder of the shares pursuant to a Voting Agreement.
- (4) Immediately convertible
- (5) Not applicable
- (6) These shares were disposed in exchange for 5,000 shares of Common Stock pursuant to an issuer tender offer dated September 12, 2011.
- (7) These shares were disposed in exchange for 81,607 shares of Common Stock pursuant to an issuer tender offer dated September 12, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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