#### OCONNOR A BRUCE

Form 4

October 09, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

5. Relationship of Reporting Person(s) to

Issuer

3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

0.5

1(b).

(Print or Type Responses)

OCONNOR A BRUCE

1. Name and Address of Reporting Person \*

|   |   | MIDD    | MIDDLESEX WATER CO [MSEX]                                   |                                     |                               |           | (Check all applicable)   |  |   |  |
|---|---|---------|---|-------------------------------------|-------------------------------|-----------|--|--|---|--|
| (Last) (First) (Middle) 1500 RONSON ROAD  |   | (Month/ | 3. Date of Earliest Transaction (Month/Day/Year) 10/05/2018 |                                     |                               |           | Director 10% Owner Other (specify elow) below)  Vice President & CFO   |  |   |  |
|   | (Street)                                |         | Filed(Month/Day/Year)                                       |                                     |                               |           | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |  |
| ISELIN, NJ  | 08830                                   |         | F   |                                     |                               |           | Form filed by More than One Reporting<br>Person  |  |   |  |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |         |   |                                     |                               |           |  |  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) |         | Code (Instr. 8)   | 4. Secur<br>owr Dispo<br>(Instr. 3, | esed of<br>4 and<br>(A)<br>or |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| (Restricted<br>Stock Book<br>Entry)   |   |         |   |                                     |                               |           | 16,307   | D  |   |  |
| Common<br>Stock -<br>(Book<br>Entry)  | 10/05/2018                              |         | S   | 421                                 | D                             | \$ 45.961 | 18,133   | D  |   |  |
| Common<br>Stock -<br>(Book  | 10/05/2018                              |         | S   | 300                                 | D                             | \$ 45.946 | 17,833   | D  |   |  |

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| Entry)                               |            |   |       |   |               |        |   |
|--------------------------------------|------------|---|-------|---|---------------|--------|---|
| Common<br>Stock -<br>(Book<br>Entry) | 10/05/2018 | S | 301   | D | \$<br>45.9779 | 17,532 | D |
| Common<br>Stock -<br>(Book<br>Entry) | 10/05/2018 | S | 106   | D | \$<br>45.7977 | 17,426 | D |
| Common<br>Stock -<br>(Book<br>Entry) | 10/05/2018 | S | 1,000 | D | \$<br>45.7578 | 16,426 | D |
| Common<br>Stock -<br>(Book<br>Entry) | 10/05/2018 | S | 1,044 | D | \$ 45.75      | 15,382 | D |
| Common<br>Stock -<br>(Book<br>Entry) | 10/05/2018 | S | 49    | D | \$<br>45.8079 | 15,333 | D |
| Common<br>Stock<br>(DRP)             |            |   |       |   |               | 2,613  | D |
| Common<br>Stock (Held<br>in Street)  | 10/05/2018 | S | 100   | D | \$ 45.751     | 4,884  | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | 5 | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secun<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|--------------------------------------|--|---|---|---|--|---|
|   |   |                                      |  | (Instr. 3, 4, and 5)  |   |   |  |   |

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Date Expiration Title Exercisable Date

Code V (A) (D)

Exercisable Date

Amount or Number of Shares

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OCONNOR A BRUCE 1500 RONSON ROAD ISELIN, NJ 08830

Vice President & CFO

## **Signatures**

s/ Jay L. Kooper, Power of Attorney for A. Bruce O'Connor

10/09/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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