

MEISS HANS VON

Form 4

June 02, 2009

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MEISS HANS VON

2. Issuer Name **and** Ticker or Trading
Symbol
THINKORSWIM GROUP INC.
[SWIM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
13947 SOUTH MINUTEMAN
DRIVE

3. Date of Earliest Transaction
(Month/Day/Year)
05/29/2009

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)
DRAPER, UT 84020

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	05/29/2009		M		8,000	A \$ 0.2	25,276 D
Common Stock	05/29/2009		M		7,500	A \$ 3.03	32,776 D
Common Stock	05/29/2009		M		5,625	A \$ 5.18	38,401 D
Common Stock							136,333 I Owned by In-Laws

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 0.2	05/29/2009		M	8,000	<u>(2)</u>	07/16/2013	Common Stock	8,000	
Stock Option	\$ 3.03	05/29/2009		M	7,500	<u>(3)</u>	12/08/2014	Common Stock	7,500	
Stock Option	\$ 5.18	05/29/2009		M	5,625	<u>(4)</u>	12/06/2015	Common Stock	5,625	

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
MEISS HANS VON 13947 SOUTH MINUTEMAN DRIVE DRAPER, UT 84020	X

Signatures

/s/HANS VON
MEISS 05/29/2009

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted and approved by the Board of Directors.

(2) 20,000 shares were granted on 07/17/2003. These shares vest ratably 25% on the anniversary date of the grant beginning one year from the date of grant. All shares have vested.

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- (3) 7,500 shares were granted on 12/09/2004. These shares vest ratably 25% on the anniversary date of the grant beginning one year from the date of grant. All shares have vested.
- (4) 7,500 shares were granted on 12/07/2005. These shares vest ratably 25% on the anniversary date of the grant beginning on year from date of grant. 5,625 shares have vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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