### Edgar Filing: MINOGUE MICHAEL R - Form 4

MINOGUE I	MICHAEL R										
Form 4											
May 16, 201	3										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287	
Check this box				0 /					Expires:	January 31,	
if no longer subject to Section 16. Form 4 or				ANGES IN BENEFICIAL OWNERSHIP ( SECURITIES					Estimated average burden hours per		
Form 5		uant to S	ection 16	5(a) of the	- Securiti	ac Fr	chang	e Act of 1934,	response	0.5	
obligation	$^{ns}$ Section 17(a)						•	f 1935 or Sectio	n		
may cont See Instru	inue.			vestment	<b>.</b>						
1(b).											
(Print or Type F	Responses)										
1. Name and Address of Reporting Person * MINOGUE MICHAEL R2. Iss Symbol				Issuer Name <b>and</b> Ticker or Trading ıbol				5. Relationship of Reporting Person(s) to Issuer			
			ABIOM	ED INC	[ABMD]			(Cha)	k all applicable	.)	
(Last)	(First) (M	liddle)	3. Date of	Earliest Tra	ansaction			(Clied	k all applicable	5)	
			(Month/D	ay/Year)				X Director		Owner	
	1ED, INC.,, 22 IILL DRIVE		05/14/20	)13				X Officer (give below) Presider	e title Othe below) nt, CEO, Chairr	er (specify nan	
			4. If Amer	I. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check			
			Filed(Mon					Applicable Line)			
DANVERS,	, MA 01923							_X_ Form filed by 0 Form filed by M Person	One Reporting Pe More than One Re		
(City)	(State) (	Zip)	Tabl	I Non D	animativa S	<b>.</b>	ing A of	wined Disposed of	f on Donoficial	le Ormed	
							-	uired, Disposed o		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D)				Securities	6. Ownership Form: Direct (D) or		
. ,		(Month/D	ay/Year)	(Instr. 8) (Instr. 3, 4 and 5)			Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
						(A)		Reported Transaction(s)			
a				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock, \$.01 par value	05/14/2013			А	28,000 (5)	А	\$0	270,455	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

#### 1. Title of 2. 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amount of (Month/Day/Year) TransactionDerivative Expiration Date Underlying Securities Derivative Conversion Execution Date, if (Month/Day/Year) (Instr. 3 and 4) Security or Exercise Code Securities any (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Amount Expiration Date Exercisable Title Number Date Code V (D) Shares (A) Stock Option Common \$9.36 06/08/2006 06/08/2015 39,38 (right to Stock buy) (1) Stock Option Common 06/01/2016 \$13.57 06/01/2007 260,0 (right to Stock buy) (1) Stock Option Common 05/30/2017 85,00 \$11.27 05/30/2008 (right to Stock buy) (1) Stock Option Common 05/23/2009(2) 05/23/2018 \$13.8 43,33 (right to Stock buy) (1) Stock Option Common 06/15/2009(4) 08/13/2018 93,75 \$18.63 (right to Stock buy) (3) Stock Option Common \$ 5.86 05/28/2010(2) 05/28/2019 37,00 (right to Stock buy) (3) Stock Option Common 03/31/2010(2) 05/28/2019 \$ 5.86 130,50 (right to Stock buy) (3) Stock Option Common \$10.03 06/03/2011(2) 06/03/2020 105,0 (right to Stock buy) (3)Stock Option Common 05/22/2013(2) 05/22/2022 \$22.44 100,0 (Right to Stock Buy) (3)

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Option (Right to Buy) $(3)$	\$ 23.15	05/14/2013	A	85,000	05/14/2014 <u>(2)</u>	05/14/2024	Common stock	85,00
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# **Reporting Owners**

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Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
MINOGUE MICHAEL R C/O ABIOMED, INC., 22 CHERRY HILL DRIVE DANVERS, MA 01923	Х		President, CEO, Chairman				
Signatures							
/s/ Ian W. McLeod (by power of attorney)	of	05/10	6/2013				

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable based on the achievement of certain performance milestones.

Represents the grant of a share award for restricted stock units to which one third of the shares will be issued to the reporting person on each of May 14, 2014, May 14, 2015 and May 14, 2016, so long as he continues to be employed by the issuer on the vesting dates. In addition, performance share awards of up to 82,500 restricted units were granted to this reporting person on May 14, 2013, with double

(5) addition, performance share awards of up to 32,500 restricted units were granted to this reporting person on May 14, 2015, with double trigger vesting pursuant to fiscal 2014 financial performance to determine whether 0%, 33%, 67%, or 100% of the underlying restricted stock units are eligible for vesting at which point half of the units eligible for vesting will vest on May 14, 2015 and the other half of the units eligible for vesting will vest on May 14, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.