

Onconova Therapeutics, Inc.  
Form 3  
May 16, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Guerin Mark Patrick

(Last) (First) (Middle)

C/O ONCONOVA  
THERAPEUTICS, INC., Â 375  
PHEASANT RUN

(Street)

NEWTOWN, Â PA Â 18940

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/09/2014

3. Issuer Name and Ticker or Trading Symbol  
Onconova Therapeutics, Inc. [ONTX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner

☒ X \_\_\_ Officer \_\_\_ Other  
(give title below) (specify below)

Chief Accounting Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ X \_\_\_ Form filed by One Reporting Person

\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

600

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Onconova Therapeutics, Inc. - Form 3

|                             | Date<br>Exercisable | Expiration<br>Date | Title        | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|-----------------------------|---------------------|--------------------|--------------|----------------------------------|----------|------------------------------------------------|---|
| Stock Option (right to buy) | Â <u>(1)</u>        | 10/04/2023         | Common Stock | 30,000                           | \$ 28.81 | D                                              | Â |
| Stock Option (right to buy) | Â <u>(2)</u>        | 12/20/2023         | Common Stock | 6,000                            | \$ 13.48 | D                                              | Â |
| Stock Option (right to buy) | Â <u>(2)</u>        | 03/31/2024         | Common Stock | 24,500                           | \$ 6.3   | D                                              | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                                    | Relationships |           |                            |       |
|---------------------------------------------------------------------------------------------------|---------------|-----------|----------------------------|-------|
|                                                                                                   | Director      | 10% Owner | Officer                    | Other |
| Guerin Mark Patrick<br>C/O ONCONOVA THERAPEUTICS, INC.<br>375 PHEASANT RUN<br>NEWTOWN,Â PAÂ 18940 | Â             | Â         | Â Chief Accounting Officer | Â     |

## Signatures

Mark Guerin 05/16/2014

          Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This option, representing a right to purchase, vests over 4 years, with 25% vesting on October 4, 2014 and 75% vesting in 36 equal monthly installments thereafter, subject to continued service to the issuer.
- (2) This option, representing a right to purchase, vests over 4 years in 48 equal monthly installments, subject to continued service to the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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