**ABIOMED INC** Form 4 March 23, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Greenfield Andrew J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ABIOMED INC [ABMD]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

C/O ABIOMED, INC., 22 CHERRY

(Street)

03/21/2016

VP Healthcare Solutions

HILL DRIVE

Filed(Month/Day/Year)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

DANVERS, MA 01923

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquir				red, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)		4. Securities Acquired (A) ionor Disposed of (D) (Instr. 3, 4 and 5)  (A) or 7 Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$.01 par value	03/21/2016		Code V  M(5)	19,572 (5)	A		73,614	D	
Common Stock, \$.01 par value	03/21/2016		S(5)	19,572 (5)	D	\$ 89.0735 <u>(6)</u>	54,042	D	
Common Stock, \$.01 par value	03/22/2016		M <u>(5)</u>	5,228 (5)	A	\$ 10.03	59,270	D	

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Common

Stock, 03/22/2016 S(5) 03/22/2016 D \$ 89 54,042 D value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Secur Secur Acqu or Di (D)	rities ired (A) sposed of : 3, 4,	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shar
Stock Option (right to buy) (1)	\$ 11.27						05/30/2008(2)	05/30/2017	Common Stock	0
Stock Option (right to buy) (1)	\$ 13.8						05/23/2009(2)	05/23/2018	Common Stock	0
Stock Option (right to buy) (3)	\$ 10.03	03/21/2016		M <u>(5)</u>		24,800 (5)	06/03/2011(2)	06/03/2020	Common Stock	24,80
Stock Option (right to buy) (3)	\$ 22.44						05/22/2013(2)	05/22/2022	Common Stock	0
Stock Option (right to buy) (3)	\$ 14.39						02/19/2014(2)	02/19/2023	Common Stock	0
Stock Option (Right to	\$ 23.15						05/14/2014(2)	05/14/2023	Common Stock	0

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Buy) (3)					
Stock Option (right to buy) (3)	\$ 21.55	05/14/2015(4)	05/14/2024	Common Stock	0
Stock Option (right to buy) (3)	\$ 66.25	05/13/2016(4)	05/13/2025	Common Stock	0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	100% Oxynor	Officer	Other		

Greenfield Andrew J C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923

**VP Healthcare Solutions** 

# **Signatures**

/s/ Stephen C. McEvoy (by power of 03/23/2016 attorney)

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2000 Stock Incentive Plan.
- (2) These options became exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy shares of Common Stock under ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (5) Sale of common stock pursuant to reporting owner's 10b5-1 plan.

This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$89.0000 and \$89.2800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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