

MOORE JOHN A
Form 3
April 12, 2007

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

MOORE JOHN A

(Last) (First) (Middle)

120 EAGLE ROCK AVENUE,
SUITE 190

(Street)

EAST HANOVER, NJ 07936

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/12/2007

3. Issuer Name **and** Ticker or Trading Symbol
Comverge, Inc. [COMV]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☐ Form filed by One Reporting
Person
☒ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

4,415,309

I By corporation ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	Â (1)	06/20/2013	Common Stock	7,500	\$ 2	D	Â
Series A Preferred Stock	Â (2)	Â (2)	Common Stock	1,078,657	\$ (2)	I	By corporation (3)
Series A-2 Preferred Stock	Â (2)	Â (2)	Common Stock	36,076	\$ (2)	I	By corporation (3)
Series C Preferred Stock	Â (2)	Â (2)	Common Stock	42,000	\$ (2)	I	By corporation (3)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MOORE JOHN A 120 EAGLE ROCK AVENUE, SUITE 190 EAST HANOVER,Â NJÂ 07936	Â X	Â X	Â	Â
ACORN FACTOR, INC. 200 ROUTE 17 MAHWAH,Â NJÂ 07430	Â	Â X	Â	Â

Signatures

/s/ John A. Moore 04/12/2007
 __Signature of Reporting Person Date

/s/ John A. Moore for Acorn Factor, Inc. 04/12/2007
 __Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Options vest over one year at a rate of one fourth every three months, which period commenced September 20, 2006. These securities owned by John A. Moore.
 - (2) The preferred stock is convertible on a 1:1 basis and converts automatically upon the consummation of issuer's initial public offering.
 - (3) Mr. Moore disclaims beneficial ownership of these securities. These securities are owned by Acorn Factor, Inc.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.