

KHAN SOHAIL A

Form 4

November 05, 2010

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KHAN SOHAIL A

2. Issuer Name **and** Ticker or Trading  
Symbol  
LIGHTPATH TECHNOLOGIES  
INC [LPTH]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
2603 CHALLENGER TECH  
COURT, SUITE 100

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/03/2010

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)  
ORLANDO, FL 32826

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Underlying s
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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and		
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-qualified stock option	\$ 2.77						11/10/2006	11/10/2015	Class A Common
Non-qualified stock option	\$ 2.77						11/10/2007	11/10/2015	Class A Common
Non-qualified stock option	\$ 2.77						11/10/2008	11/10/2015	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						11/10/2006 <sup>(2)</sup>	11/10/2015	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						11/10/2007 <sup>(2)</sup>	11/10/2015	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						11/10/2008 <sup>(2)</sup>	11/10/2015	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						02/09/2006 <sup>(2)</sup>	02/09/2015	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						02/09/2007 <sup>(2)</sup>	02/09/2015	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						10/27/2007	10/27/2016	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						10/27/2008	10/27/2016	Class A Common
Restricted stock unit	\$ 0 <u>(1)</u>						10/27/2009	10/27/2016	Class A Common
Restricted stock unit	\$ 0						11/06/2011 <sup>(3)</sup>	11/06/2011	Class A Common
Restricted stock unit	\$ 0						10/30/2009	10/30/2011 <sup>(4)</sup>	Class A Common
Restricted Stock Unit	\$ 0						02/04/2011 <sup>(4)</sup>	02/04/2013	Class A Common
Restricted stock unit	\$ 0	11/03/2010	A		15,000		11/03/2011 <sup>(4)</sup>	11/03/2013	Class A Common

## Reporting Owners

Reporting Owner Name / Address	Relationships
Reporting Owners	

## Edgar Filing: KHAN SOHAIL A - Form 4

Director   10% Owner   Officer   Other

KHAN SOHAIL A  
2603 CHALLENGER TECH COURT   X  
SUITE 100  
ORLANDO, FL 32826

## Signatures

/s/ Sohail Khan   11/05/2010

\_\_Signature of   Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert at a 1-to-1 ratio to Class A Common
- (2) The reporting person shall be eligible to electively receive one share of Class A Common for each restricted stock unit at this date subject to continued employment
- (3) These restricted stock units vest over four years.
- (4) These shares vest over 3 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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