

SOON SHIONG PATRICK
Form 3
April 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | | |
|---|---------|----------|---|--|--|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | | 3. Issuer Name and Ticker or Trading Symbol | |
| SOON SHIONG PATRICK | | | 04/05/2013 | | JAKKS PACIFIC INC [JAKK] | |
| (Last) | (First) | (Middle) | | | | |
| 10182 CULVER BOULEVARD | | | | | | |
| (Street) | | | | | | |
| CULVER CITY, CA 90232 | | | | | | |
| (City) | (State) | (Zip) | | | | |
| | | | 4. Relationship of Reporting Person(s) to Issuer | | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| | | | | | | |
| | | | (Check all applicable) | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | | <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner | | <input type="checkbox"/> Form filed by One Reporting Person | |
| | | | <input type="checkbox"/> Officer <input type="checkbox"/> Other | | <input checked="" type="checkbox"/> Form filed by More than One Reporting Person | |
| | | | (give title below) (specify below) | | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 41,042 ⁽¹⁾ | D | ^ |
| Common Stock | 2,191,764 ⁽¹⁾ | I | Through California Capital Z, LLC ⁽²⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) |
|------------------|-----------------|-------|----------------------------|---------------------|---|
|------------------|-----------------|-------|----------------------------|---------------------|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| SOON SHIONG PATRICK 10182 CULVER BOULEVARD CULVER CITY, CA 90232 | ^ | ^ X | ^ | ^ |
| California Capital Z, LLC 10182 CULVER BOULEVARD CULVER CITY, CA 90232 | ^ | ^ X | ^ | ^ |

Signatures

/s/ Patrick Soon-Shiong 04/09/2013
**Signature of Reporting Person Date

/s/ Charles Kenworthy, Manager of California Capital Z, LLC 04/09/2013
**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares disclosed represent the shares deemed to be held by Dr. Patrick Soon-Shiong after giving effect to the transactions through
- (1) 4/5/2013 that gave rise to the requirement to file this Form 3. This Form 3 excludes the effect of the transactions on 4/5/2013 that are reported on the Form 4 filed concurrently with this Form 3.
 - (2) Dr. Soon-Shiong is the sole member of California Capital Z, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.