#### **AXCELIS TECHNOLOGIES INC**

Form 4 July 16, 2013

## FORM 4

if no longer

subject to

Section 16.

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

**SECURITIES** 

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

burden hours per response... 0.5

Estimated average

**OMB APPROVAL** 

3235-0287

January 31,

2005

**OMB** 

Number:

Expires:

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Bintz William J

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Symbol

**AXCELIS TECHNOLOGIES INC** 

[ACLS]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

X\_ Officer (give title below)

10% Owner Other (specify

(Month/Day/Year)

07/15/2013

EVP, Prod. Dev., Eng. & Mktg

108 CHERRY HILL DRIVE

4. If Amendment, Date Original

(Instr. 8)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(T)

(Instr. 4)

Person

BEVERLY, MA 01915

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial

Following Reported

Ownership (Instr. 4)

(A) Code V Amount (D) Price

(Instr. 3, 4 and 5)

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securities (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security	Derivative			8)	Acquired (A Disposed of (Instr. 3, 4, 5)	f (D)	(D)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Number Shares
Stock option (right to buy)	\$ 1.99	07/15/2013		A <u>(1)</u>		300,000		07/15/2017(2)	07/15/2020	Common Stock	300,0

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bintz William J 108 CHERRY HILL DRIVE BEVERLY, MA 01915

EVP, Prod. Dev., Eng. & Mktg

### **Signatures**

Lynnette C. Fallon, as attorney in fact for William J.

07/17/2012

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the Axcelis Technologies, Inc. 2012 Equity Incentive Plan.
  - These options will vest as to 25% of the shares on each of July 15, 2014, July 15, 2015, July 15, 2016 and July 15, 2017, if the officer remains in the service of the Company on such vesting date, provided that such exercisability shall accelerate (A) so that 50% of such
- (2) shares are exercisable beginning on the date that the Common Stock has had a closing price of \$2.50 or more for 20 consecutive trading days and (B) so that 100% of such shares are exercisable beginning on the date that the Common Stock has had a closing price of \$3.00 or more for 20 consecutive trading days.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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