

CONNS INC
Form 4
July 30, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Harwood Clinton W

(Last) (First) (Middle)

4055 TECHNOLOGY FOREST
BLVD., SUITE 210

(Street)

THE WOODLANDS, TX 77381

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CONNS INC [CONN]

3. Date of Earliest Transaction
(Month/Day/Year)
07/26/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP - Information Technology

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	07/26/2013		M		500 A \$ 3.2	60,624	D
Common Stock	07/26/2013		S		500 D \$ 62.61	60,124	D
Common Stock	07/29/2013		M		9,498 A \$ 3.2	69,622	D
Common Stock	07/29/2013		S		9,498 D \$ 62.077	60,124	D
Common Stock	07/29/2013		M		16,384 A \$ 6.33	76,508	D

Edgar Filing: CONNS INC - Form 4

Common Stock	07/29/2013	S	16,384	D	\$ 62.077	60,124	D
Common Stock	07/29/2013	M	15,000	A	\$ 6.34	75,124	D
Common Stock	07/29/2013	S	15,000	D	\$ 62.077	60,124	D
Common Stock	07/30/2013	M	8,000	A	\$ 17.73	68,124	D
Common Stock	07/30/2013	M	8,584	A	\$ 33.88	76,708	D
Common Stock	07/30/2013	M	4,003	A	\$ 22.68	80,711	D
Common Stock	07/30/2013	M	4,465	A	\$ 19.99	85,176	D
Common Stock	07/30/2013	M	3,166	A	\$ 6.33	88,342	D
Common Stock	07/30/2013	M	2	A	\$ 3.2	88,344	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 3.2	07/26/2013		M	500	<u>(1)</u>	11/30/2020	Common Stock	500
Employee Stock	\$ 3.2	07/29/2013		M	9,498	<u>(1)</u>	11/30/2020	Common Stock	9,498

Edgar Filing: CONNS INC - Form 4

Option (right to buy)									
Employee Stock Option (right to buy)	\$ 6.33	07/29/2013	M	16,384	<u>(3)</u>	11/25/2018	Common Stock	16,384	
Employee Stock Option (right to buy)	\$ 6.34	07/29/2013	M	15,000	<u>(4)</u>	11/24/2019	Common Stock	15,000	
Employee Stock Option (right to buy)	\$ 17.73	07/30/2013	M	8,000	<u>(5)</u>	11/30/2014	Common Stock	8,000	
Employee Stock Option (right to buy)	\$ 33.88	07/30/2013	M	8,584	<u>(6)</u>	11/30/2015	Common Stock	8,584	
Employee Stock Option (right to buy)	\$ 22.68	07/30/2013	M	4,003	<u>(7)</u>	12/04/2016	Common Stock	4,003	
Employee Stock Option (right to buy)	\$ 19.99	07/30/2013	M	4,465	<u>(8)</u>	11/27/2017	Common Stock	4,465	
Employee Stock Option (right to buy)	\$ 6.33	07/30/2013	M	3,166	<u>(9)</u>	11/25/2018	Common Stock	3,166	
Employee Stock Option (right to buy)	\$ 3.2	07/30/2013	M	2	<u>(10)</u>	11/30/2020	Common Stock	2	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Harwood Clinton W 4055 TECHNOLOGY FOREST BLVD., SUITE 210 THE WOODLANDS, TX 77381			SVP - Information Technology	

Signatures

/s/ Clinton W.
Harwood

07/30/2013

 Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option granted on November 30, 2010, and vest in five equal annual installments.
- (2) Not a required reportable field. SEC software requires a dollar amount; use zeros per SEC.
- (3) Stock options granted on November 25, 2008, and vest in five equal annual installments.
- (4) Stock options granted on November 24, 2009, and vest in five equal annual installments.
- (5) Stock options granted on November 30, 2004, and vested in five equal annual installments.
- (6) Stock options granted on November 30, 2005, and vested in five equal annual installments.
- (7) Stock options granted on December 4, 2006, and vested in five equal annual installments.
- (8) Stock options granted on November 27, 2007, and vested in five equal annual installments.
- (9) Stock options granted on November 25, 2008, and vest in five equal annual installments.
- (10) Stock options granted on November 30, 2010, and vest in five equal annual installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.