## Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

| SCOTTS MIRAC<br>Form 4<br>January 27, 2009  |                                  | CO   |   |  |                          |                                      |  |   |  |  |  |
|---|----------------------------------|--|---|--|--------------------------|--------------------------------------|--|---|--|--|--|
| FORM 4  | UNITED                           | UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549   |   |  |                          |                                      |  |   | -  | Number: 3235-0287<br>Expires: January 31,<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br><i>See</i> Instruction<br>1(b). | STATE:<br>Filed pu<br>Section 17 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>ection 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |  |                          |                                      |  | Estimated<br>burden hou<br>response   |  |  |  |
| (Print or Type Respondence)   | nses)                            |  |   |  |                          |                                      |  |   |  |  |  |
| 5   |                                  |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>SCOTTS MIRACLE-GRO CO<br>[SMG] |  |                          |                                      | -  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |  |  |  |
| (Last)<br>C/O THE SCOT<br>COMPANY, 14<br>ROAD   | TS MIRAC                         |  |   | of Earliest Tr<br>Day/Year)<br>2009              | ransaction               |                                      |  | X Director<br>Officer (giv<br>below)  |  | % Owner<br>her (specify  |  |
| ,<br>,  | Filed(Mo                         |  |   | mendment, Date Original<br>Month/Day/Year)       |                          |                                      | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |   |  |  |  |
| MARYSVILLE,   |                                  |  |   |  |                          |                                      |  | Person  | wore than one R  | eporting   |  |
| (City) (  | (State)                          | (Zip)  | Tab   | le I - Non-I                                     | Derivative               | Secu                                 | rities A   | cquired, Disposed   | of, or Beneficia   | lly Owned  |  |
|   | ansaction Date<br>hth/Day/Year)  | 2A. Deemo<br>Execution<br>any<br>(Month/Da   | Date, if  | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V | Disposed<br>(Instr. 3, 4 | (A) o<br>of (D<br>4 and<br>(A)<br>or | )  | Securities<br>Beneficially<br>Owned   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
| Reminder: Report on   | n a separate lin                 | e for each cla   | ass of sec  | urities benef                                    | ficially ow              | ned di                               | rectly c   | or indirectly.  |  |  |  |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | e 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | 5. Number<br>for of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | (Instr. 3 and 4) |  | 8. Pric<br>Deriva<br>Securi<br>(Instr. |
|---|---|---|---|--------|---|--|--------------------|------------------|--|--|
|   |   |   |   | Code V | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of<br>Shares |  |
| Deferred<br>Stock<br>Units                          | <u>(1)</u>  | 01/23/2009                              |   | А      | 3,901   | (2)  | (2)                | Common<br>Shares | 3,901                                  | \$                                     |

## **Reporting Owners**

| Reporting Owner Name / Address  |          | Relationships |              |  |  |  |  |
|---|----------|---------------|--------------|--|--|--|--|
| I G G G G G G G G G G G G G G G G G G G   | Director | 10% Owner     | vner Officer |  |  |  |  |
| Kohrt Carl F<br>C/O THE SCOTTS MIRACLE-GRO COMPANY<br>14111 SCOTTSLAWN ROAD<br>MARYSVILLE, OH 43041 | Х        |               |              |  |  |  |  |
| <b>Signatures</b><br>Kathy L. Uttley as attorney-in-fact for Carl F. Kohrt<br>Ph.D.                 | ,        | 01/27/20      | 009          |  |  |  |  |
|   |          |               |              |  |  |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The deferred stock units were granted, together with an equal number of related dividend equivalents, under The Scotts Miracle-Gro Company Amended and Restated 2006 Long-Term Incentive Plan (the "2006 Plan"), and subject to the terms and conditions of an individual award agreement. Each whole deferred stock unit represents a contingent right to receive one common share of The Scotts

(1) Individual award agreement. Each whole deferred stock unit represents a contrigent right to receive one common share of the scotts
 Miracle-Gro Company. Each dividend equivalent represents the right to receive additional deferred stock units in respect of dividends that are declared and paid during the period beginning on the grant date and ending on the settlement date with respect to the common share of The Scotts Miracle-Gro Company represented by the related deferred stock unit.

The deferred stock units will become 100% vested on January 23, 2012, subject to earlier vesting or forfeiture in accordance with the terms of the award agreement. Subject to the terms of the 2006 Plan, vested deferred stock units will be settled in a lump sum as soon as

(2) administratively practicable, but no later than 90 days, following the earliest to occur of: (i) the reporting person's cessation of service as a director of The Scotts Miracle-Gro Company; (ii) the reporting person's death; (iii) the date the reporting person becomes totally disabled; or (iv) the fifth anniversary of the grant date (January 23, 2014).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date