#### **HAGEDORN JAMES**

Form 4

January 17, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Form 4 or

Section 16. Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

SCOTTS MIRACLE-GRO CO

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*

**HAGEDORN JAMES** 

(First)

(Middle)

3. Date of Earliest Transaction

Symbol

[SMG]

(Month/Day/Year) 01/16/2013

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Issuer

(Check all applicable)

\_X\_\_ Director X\_\_ 10% Owner X\_ Officer (give title

\_ Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Chairman and CEO

C/O THE SCOTTS MIRACLE-GRO COMPANY, 14111 SCOTTSLAWN ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

below)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### MARYSVILLE, OH 43041

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			Beneficially Form Owned Direction Following or In Reported (I)	Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Shares	01/16/2013		Code V $S_{\underline{(1)}}$	Amount 100	(D)	\$ 44.6001	41,370.953	D		
Common Shares	01/16/2013		S(1)	700	D	\$ 44.51	40,670.953	D		
Common Shares	01/16/2013		S(1)	700	D	\$ 44.5	39,970.953	D		
Common Shares	01/16/2013		S(1)	2,800	D	\$ 44.52	37,170.953	D		
	01/16/2013		S(1)	300	D		36,870.953	D		

Edgar Filing: HAGEDORN JAMES - Form 4

Common Shares					\$ 44.5233			
Common Shares	01/16/2013	S(1)	500	D	\$ 44.5201	36,370.953	D	
Common Shares	01/16/2013	S(1)	226	D	\$ 44.59	36,144.953	D	
Common Shares	01/16/2013	S(1)	400	D	\$ 44.69	35,744.953	D	
Common Shares	01/16/2013	S(1)	800	D	\$ 44.6575	34,944.953	D	
Common Shares	01/16/2013	S <u>(1)</u>	500	D	\$ 44.68	34,444.953	D	
Common Shares	01/16/2013	S <u>(1)</u>	500	D	\$ 44.67	33,944.953	D	
Common Shares	01/16/2013	S(1)	100	D	\$ 44.74	33,844.953	D	
Common Shares	01/16/2013	S(1)	100	D	\$ 44.7	33,744.953	D	
Common Shares	01/16/2013	S(1)	294	D	\$ 44.97	33,450.953	D	
Common Shares	01/16/2013	S <u>(1)</u>	100	D	\$ 44.98	33,350.953	D	
Common Shares	01/16/2013	S <u>(1)</u>	206	D	\$ 45	33,144.953	D	
Common Shares	01/16/2013	S(1)	500	D	\$ 45.01	32,644.953	D	
Common Shares						33,747.195	I	By 401(K) Plan
Common Shares						2,522,787	I	HPLP (2)
Common Shares						5,354.7841	I	By DSPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: HAGEDORN JAMES - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	Ĭ				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
						2.1010154010	2410		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
HAGEDORN JAMES							
C/O THE SCOTTS MIRACLE-GRO COMPANY	X	v	Chairman				
14111 SCOTTSLAWN ROAD	Λ	Λ	and CEO				
MARYSVILLE, OH 43041							

# **Signatures**

Kathy L. Uttley as attorney-in-fact for James Hagedorn

01/17/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 14, 2012.

Pursuant to Exchange Act Rule 16a-1(a)(1), the reporting person may be deemed, solely for purposes of determining whether he is a beneficial owner of more than 10% of the Common Shares, to be the beneficial owner of the securities of the Issuer that are held by

(2) Hagedorn Partnership, L.P., a Delaware limited partnership in which the reporting person is a general partner (the "Partnership"). Represents the aggregate proportionate interest of the reporting person and those family members in whose holdings he may be deemed to have a pecuniary interest, in Common Shares held by the Partnership.

#### **Remarks:**

Form 2 of 2

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3