GOLD FIELDS LTD Form 6-K September 10, 2013 **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Form 6-K **Report of Foreign Private Issuer** Pursuant to Rules 13a-16 or 15d-16 under the Securities Exchange Act of 1934 Dated 10 September 2013 Commission File Number: 001-31318 **GOLD FIELDS LIMITED** (Translation of registrant's name into English) 150 Helen Rd. Sandown, Sandton 2196 South Africa (Address of principal executive offices) Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F. Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): \_\_\_\_\_\_ Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule

101(b)(7): \_\_\_\_\_

Gold Fields Limited (Reg. No. 1968/004880/06) (Incorporated in the Republic of South Africa) ("Gold Fields" or "the Company")

JSE, NYSE, DIFX Share Code: GFI ISIN Code: **ZAE000018123** 

### Gold Fields acknowledges SEC investigation

Gold Fields Limited has been informed that it is the subject of a regulatory investigation in the United States by the US Securities and Exchange Commission relating to the Black Economic Empowerment transaction associated with the granting of the mining license for its South Deep operation. Given the early stage of this investigation, it is not possible to estimate reliably what effect, the outcome this investigation, any regulatory findings and any related developments may have on the Company.

10 September 2013 Sponsor: JP Morgan Equities South Africa (Pty) Ltd

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GOLD FIELDS LIMITED Dated: 10 September 2013 By: /s/ Nicholas J. Holland Name: Nicholas J. Holland Title: Chief Executive Officer 0 36,214 (2) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)				7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer Other

BALILES GERALD L 6601 WEST BROAD STREET X RICHMOND, VA 23230

# Signatures

W. Hildebrandt Surgner, Jr. for Gerald L. Baliles

05/20/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock awarded under the Stock Compensation Plan for Non-Employee Directors.
- (2) Includes 8,551 deferred shares held in the Stock Compensation Plan for Non-Employee Directors, including an increase of 419 shares acquired through the reinvestment of dividends since May 17, 2012, the date of the last reportable transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.