

SCOTTS MIRACLE-GRO CO  
Form 4  
October 28, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HAGEDORN JAMES**

2. Issuer Name and Ticker or Trading Symbol  
**SCOTTS MIRACLE-GRO CO [SMG]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

**C/O THE SCOTTS MIRACLE-GRO COMPANY, 14111 SCOTTS LAWN ROAD**

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**MARYSVILLE, OH 43041**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Shares                   | 06/25/2014                           |  | S <sup>(1)</sup>               |   | 100   | D  | \$ 56.785 58,419.548 D            |
| Common Shares                   | 06/25/2014                           |  | S <sup>(1)</sup>               |   | 500   | D  | \$ 56.79 57,919.548 D             |
| Common Shares                   | 06/25/2014                           |  | S <sup>(1)</sup>               |   | 200   | D  | \$ 56.795 57,719.548 D            |
| Common Shares                   | 06/25/2014                           |  | S <sup>(1)</sup>               |   | 1,400   | D  | \$ 56.8 56,319.548 D              |
|                                 | 06/25/2014                           |  | S <sup>(1)</sup>               |   | 100   | D  | \$ 56.805 56,219.548 D            |

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|               |            |                        |       |   |            |            |   |                     |
|---------------|------------|------------------------|-------|---|------------|------------|---|---------------------|
| Common Shares |            |                        |       |   |            |            |   |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 1,600 | D | \$ 56.81   | 54,619.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 100   | D | \$ 56.815  | 54,519.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 1,271 | D | \$ 56.82   | 53,248.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 300   | D | \$ 56.825  | 52,948.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 1,910 | D | \$ 56.83   | 51,038.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 400   | D | \$ 56.835  | 50,638.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 799   | D | \$ 56.84   | 49,839.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 100   | D | \$ 56.845  | 49,739.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 903   | D | \$ 56.85   | 48,836.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 1,200 | D | \$ 56.855  | 47,636.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 100   | D | \$ 56.8575 | 47,536.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 3,100 | D | \$ 56.86   | 44,436.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 1,600 | D | \$ 56.865  | 42,836.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 2,276 | D | \$ 56.87   | 40,560.548 | D |                     |
| Common Shares | 06/25/2014 | <u>S<sup>(1)</sup></u> | 778   | D | \$ 56.875  | 39,782.548 | D |                     |
| Common Shares |            |                        |       |   |            | 35,701.75  | I | By 401(K) Plan      |
| Common Shares |            |                        |       |   |            | 1,945,789  | I | HPLP <sup>(2)</sup> |
| Common Shares |            |                        |       |   |            | 6,614.6215 | I | By DSPP             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                  |       |
|--|---------------|-----------|------------------|-------|
|  | Director      | 10% Owner | Officer          | Other |
| HAGEDORN JAMES<br>C/O THE SCOTTS MIRACLE-GRO COMPANY<br>14111 SCOTTS LAWN ROAD<br>MARYSVILLE, OH 43041 | X             | X         | Chairman and CEO |       |

## Signatures

Kathy L. Uttley as attorney-in-fact for James Hagedorn 10/28/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales reported in this Form 4 were made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 21, 2014.

Pursuant to Exchange Act Rule 16a-1(a)(1), the reporting person may be deemed, solely for purposes of determining whether he is a beneficial owner of more than 10% of the common shares of the Issuer ("Common Shares"), to be the beneficial owner of the securities of

(2) the Issuer that are held by Hagedorn Partnership, L.P., a Delaware limited partnership in which the reporting person is a general partner (the "Partnership"). Represents the aggregate proportionate interest of the reporting person and those family members in whose holdings he may be deemed to have a pecuniary interest, in Common Shares held by the Partnership.

### Remarks:

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Form 3 of 3

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