#### SCOTTS MIRACLE-GRO CO

Form 4

February 02, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

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0.5

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Hanft Adam

2. Issuer Name and Ticker or Trading

Symbol

SCOTTS MIRACLE-GRO CO

[SMG]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Last)

(City)

Common

Shares

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 01/29/2016

X\_ Director Officer (give title

10% Owner Other (specify

C/O THE SCOTTS MIRACLE-GRO COMPANY, 14111 SCOTTSLAWN

ROAD

(Street)

(State)

01/31/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

D

Applicable Line)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MARYSVILLE, OH 43041

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	01/29/2016		A	2,476	A	\$ 0	22,971	D	
Common Shares	01/29/2016		A	183	A	\$ 68.68 (1)	23,154	D	

2.342 A

<u>(2)</u>

25,496

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and E (Month/Day/Year)	xpiration Date	7. T Und (Inst
					Date Exercisable	Expiration Date	Title
			Code V	(A) (D)			
Deferred Stock Units	<u>(2)</u>	01/31/2016	M	284	(3)	(3)	Co Sl
Deferred Stock Units	<u>(2)</u>	01/31/2016	M	250	<u>(4)</u>	<u>(4)</u>	Co Sl
Deferred Stock Units	(2)	01/31/2016	M	282	<u>(5)</u>	<u>(5)</u>	Co Sl
Deferred Stock Units	(2)	01/31/2016	M	325	<u>(6)</u>	<u>(6)</u>	Co Sl
Deferred Stock Units	(2)	01/31/2016	M	306	<u>(7)</u>	<u>(7)</u>	Co Sl
Deferred Stock Units	(2)	01/31/2016	M	319	<u>(8)</u>	<u>(8)</u>	Co Sl
Deferred Stock Units	(2)	01/31/2016	M	282	<u>(9)</u>	<u>(9)</u>	Co Sl
Deferred Stock Units	(2)	01/31/2016	M	246	(10)	(10)	Co Sl
Dividend	<u>(2)</u>	01/31/2016	M	48	(3)(4)(5)(6)(7)(8)(9)(10)	(3)(4)(5)(6)(7)(8)(9)(10)	Co

Equivalent Rights

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hanft Adam C/O THE SCOTTS MIRACLE-GRO COMPANY 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041



#### **Signatures**

Kathy L. Uttley as attorney-in-fact for Adam Hanft

02/02/2016

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Deferred Stock Units was issued to the reporting person in lieu of retainer in the amount of \$12,500.
- (2) Deferred stock units convert into common shares of the Issuer on a one-for-one basis.
- On January 21, 2011, the reporting person was granted 290 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.
- On April 1, 2011, the reporting person was granted 256 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.
- On July 1, 2011, the reporting person was granted 288 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.
- (6) On October 3, 2011, the reporting person was granted 331 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.
- On January 18, 2013, the reporting person was granted 312 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.
- (8) On April 1, 2013, the reporting person was granted 325 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.
- (9) On July 1, 2013, the reporting person was granted 288 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.
- (10) On October 1, 2013, the reporting person was granted 252 deferred stock units, with accruing dividend equivalent rights, vesting on January 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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