Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

SCOTTS MI Form 4 October 27, 2	RACLE-GRO	СО									
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 19 Section 17(a) of the Public Utility Holding Company Act of 1935 or S 30(h) of the Investment Company Act of 1940						NERSHIP OF e Act of 1934, 1935 or Section	Number: 3235-028 Number: January 3 Expires: 200 Estimated average burden hours per response 0				
(Print or Type F 1. Name and A Smith Ivan (ddress of Reportin	ng Person <u>*</u>	2. Issuer Name	e and	Ticker or	Tradiı	ng	5. Relationship of Issuer	Reporting Pers	son(s) to	
Sinui Ivan C			Symbol SCOTTS MIRACLE-GRO CO [SMG]					(Check all applicable)			
	(First) COTTS MIRA 7, 14111 SCOT		3. Date of Earlie (Month/Day/Ye 09/30/2016		ansaction			Director X Officer (give below) EVP, O		Owner er (specify ry	
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 						
	LLE, OH 4304							Person	fore than One Re	porting	
(City)	(State)	(Zip)		on-D			-	uired, Disposed of	, or Beneficial	•	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	r) Execution any		. 8)	4. Securi n(A) or Di (Instr. 3, Amount	spose	d of (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares	09/30/2016		J	V	1.668	А	\$ 74.94	6,948.8333	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

I S	I. Title of Derivative Security Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Smith Ivan C C/O THE SCOTTS MIRACLE-GRO COMPANY 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041			EVP, GC and Secretary				
Signatures							
Kathy L. Uttley as attorney-in-fact for Ivan C. Smith	10/27/20	016					
**Signature of Reporting Person	Date						
Explanation of Responses:							

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.