

SEMICONDUCTOR MANUFACTURING INTERNATIONAL CORP  
Form SC 13D/A  
January 22, 2014

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**SCHEDULE 13D**  
**Under the Securities Exchange Act of 1934**  
**(Amendment No. 7)\***

**Information to be Included in Statements Filed Pursuant to Rule 13d-1(a) and  
Amendments Thereto Filed Pursuant to Rule 13d-2(a)**

**SEMICONDUCTOR MANUFACTURING  
INTERNATIONAL CORPORATION**

**(Name of Issuer)**

**Ordinary Shares, Par Value \$0.0004 per share**

**(Title of Class of Securities)**

**81663 N206**

**(CUSIP Number)**

**Foo Hei Yee**

**Shanghai Industrial Investment (Holdings) Company Limited**

**c/o 26th Floor, Harcourt House**

**39 Gloucester Road**

**Hong Kong**

**Tel No. (852) 2529-5652**

**(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)**

**January 14, 2014**

**(Date of Event which Requires Filing of this Statement)**

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box. "

- \* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.
- The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 81663 N206

**SCHEDULE 13D/A**

1. Names of Reporting Persons

S.I. Technology Production Holdings Limited

2. Check the Appropriate Box if a Member of a Group

(a)  (b)

3. SEC Use Only

4. Source of Funds

Not applicable

5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6. Citizenship or Place of Organization

British Virgin Islands

7. Sole Voting Power

Number of

Shares

0

Beneficially

8. Shared Voting Power

Owned by

Each

1,514,269,340

9. Sole Dispositive Power

Reporting

Person

0

With

10. Shared Dispositive Power

1,514,269,340

11. Aggregate Amount Beneficially Owned by Each Reporting Person

1,514,269,340

12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13. Percent of Class Represented by Amount in Row (11)

4.71%

14. Type of Reporting Person

CO

CUSIP No. 81663 N206

**SCHEDULE 13D/A**

1. Names of Reporting Persons

SIIC Treasury (B.V.I.) Limited

2. Check the Appropriate Box if a Member of a Group

(a)  (b)

3. SEC Use Only

4. Source of Funds

Not applicable

5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6. Citizenship or Place of Organization

British Virgin Islands

7. Sole Voting Power

Number of

Shares

0

Beneficially

8. Shared Voting Power

Owned by

Each

1,514,269,340

9. Sole Dispositive Power

Reporting

Person

0

With

10. Shared Dispositive Power

1,514,269,340

11. Aggregate Amount Beneficially Owned by Each Reporting Person

1,514,269,340

12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13. Percent of Class Represented by Amount in Row (11)

4.71%

14. Type of Reporting Person

CO

CUSIP No. 81663 N206

**SCHEDULE 13D/A**

1. Names of Reporting Persons

Shanghai Industrial Financial (Holdings) Company Limited

2. Check the Appropriate Box if a Member of a Group

(a)  (b)

3. SEC Use Only

4. Source of Funds

Not applicable

5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6. Citizenship or Place of Organization

Hong Kong

7. Sole Voting Power

Number of

Shares

0

Beneficially

8. Shared Voting Power

Owned by

Each

1,514,269,340

9. Sole Dispositive Power

Reporting

Person

0

With

10. Shared Dispositive Power

1,514,269,340

11. Aggregate Amount Beneficially Owned by Each Reporting Person

1,514,269,340

12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13. Percent of Class Represented by Amount in Row (11)

4.71%

14. Type of Reporting Person

CO



CUSIP No. 81663 N206

**SCHEDULE 13D/A**

1. Names of Reporting Persons

Shanghai Industrial Financial Holdings Limited

2. Check the Appropriate Box if a Member of a Group

(a)  (b)

3. SEC Use Only

4. Source of Funds

Not applicable

5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6. Citizenship or Place of Organization

Cayman Islands

7. Sole Voting Power

Number of

Shares

0

Beneficially

8. Shared Voting Power

Owned by

Each

1,514,269,340

9. Sole Dispositive Power

Reporting

Person

0

With

10. Shared Dispositive Power

1,514,269,340

11. Aggregate Amount Beneficially Owned by Each Reporting Person

1,514,269,340

12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares "

13. Percent of Class Represented by Amount in Row (11)

4.71%

14. Type of Reporting Person

CO

CUSIP No. 81663 N206

**SCHEDULE 13D/A**

1. Names of Reporting Persons

Shanghai Industrial Investment (Holdings) Company Limited

2. Check the Appropriate Box if a Member of a Group

(a)  (b)

3. SEC Use Only

4. Source of Funds

Not applicable

5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)

6. Citizenship or Place of Organization

Hong Kong

7. Sole Voting Power

Number of

Shares

0

Beneficially

8. Shared Voting Power

Owned by

Each

1,514,269,340

9. Sole Dispositive Power

Reporting

Person

0

With

10. Shared Dispositive Power

1,514,269,340

11. Aggregate Amount Beneficially Owned by Each Reporting Person

1,514,269,340

12. Check if the Aggregate Amount in Row (11) Excludes Certain Shares

13. Percent of Class Represented by Amount in Row (11)

4.71%

14. Type of Reporting Person

CO

This Amendment No. 7 (this Amendment No. 7 ) amends a Statement on Schedule 13D filed on February 16, 2007 on behalf of the reporting persons named therein with the United States Securities and Exchange Commission, as amended on February 11, 2008, February 17, 2009, September 8, 2009, February 1, 2010, December 2, 2010 and June 14, 2013 (as amended, the Schedule 13D ). This Amendment No. 7 is being filed to reflect that the reporting persons combined beneficial ownership of the Issuer s outstanding Ordinary Shares fell below 5% as of January 14, 2014. Capitalized terms used but not otherwise defined herein shall have the meanings prescribed to them in the Schedule 13D.

The Schedule 13D is hereby amended as follows:

#### **ITEM 2. IDENTITY AND BACKGROUND**

Schedule 1, which is incorporated herein by reference and sets forth the name, business address and citizenship of each of the directors of each undersigned reporting person, is amended and restated as set forth in Schedule 1 hereto.

#### **ITEM 5. INTEREST IN SECURITIES OF THE ISSUER**

(a) Based on information provided by the Issuer, there were 32,147,232,938 Ordinary Shares of the Issuer issued and outstanding as of January 21, 2014. As of the date of this Amendment No. 7, S.I. Technology Production Holdings Limited directly holds 1,514,269,340 Ordinary Shares of the Issuer and SIIC Treasury (B.V.I.) Limited no longer directly holds any Ordinary Shares of the Issuer. By virtue of their membership of a group for purposes of the Schedule 13D and this Amendment No. 7, each of the undersigned reporting persons may be deemed to beneficially own such shares totaling 1,514,269,340 Ordinary Shares, which represent approximately 4.71% of the Issuer s outstanding capital stock as of January 21, 2014. Because the reporting persons are no longer the beneficial owners of more than 5% of the Ordinary Shares as of January 14, 2014, no reporting person will be required to file further amendments to the Schedule 13D. If a reporting person becomes the beneficial holder of more than 5% of the Ordinary Shares and is required to file pursuant to Rule 13d-1 promulgated under the Securities Act of 1933, such reporting person will file a new Schedule 13D.

(b) By virtue of their membership of a group for purposes of the Schedule 13D and this Amendment No. 7, the undersigned reporting persons may be deemed to have shared voting power to vote or direct the vote, and dispose or direct the disposition of all 1,514,269,340 Ordinary Shares.

(c) Attached as Schedule 2 hereto, which is incorporated herein by reference, is a description of the transactions in the Ordinary Shares effected by SIIC Treasury (B.V.I.) Limited and S.I. Technology Production Holdings Limited during the 60 days prior to the date of this Amendment No. 7.

**SIGNATURE**

After reasonable inquiry and to the best of its knowledge and belief, the undersigned certifies that the information set forth in this Statement is true, complete and correct.

Dated: January 22, 2014

**S.I. Technology Production Holdings Limited**

By: /s/ Foo Hei Yee  
Authorized Signatory

**SIIC Treasury (B.V.I.) Limited**

By: /s/ Foo Hei Yee  
Authorized Signatory

**Shanghai Industrial Financial (Holdings) Company Limited**

By: /s/ Foo Hei Yee  
Authorized Signatory

**Shanghai Industrial Financial Holdings Limited**

By: /s/ Foo Hei Yee  
Authorized Signatory

**Shanghai Industrial Investment (Holdings) Company Limited**

By: /s/ Foo Hei Yee  
Authorized Signatory

**Schedule 1**

**List of directors**

The names of each of the directors of the above signed reporting persons are set out below. The business address for all of the directors of the above signed reporting persons is 27<sup>th</sup> Floor, Harcourt House, 39 Gloucester Road, Hong Kong. Each of the following directors is a citizen of the People's Republic of China.

**S.I. Technology Production Holdings Limited**

Zhou Jun and Xu Bo

**SIIC Treasury (B.V.I.) Limited**

Zhou Jie and Zhang Lei

**Shanghai Industrial Financial (Holdings) Company Limited**

Xu Bo and Zhang Lei

**Shanghai Industrial Financial Holdings Limited**

Xu Bo and Zhang Lei

**Shanghai Industrial Investment (Holdings) Company Limited**

Wang Wei, Zhou Jie, Ge Ai Ling, Lu Shen, Zhou Jun, Lou Ding Bo, Yang Xi Sheng, Ni Jian Da, Ji Gang, Qian Yi, Li Han Sheng, Lou Jun

## Schedule 2

**Transactions in the Ordinary Shares of the Issuer during the 60 days****prior to the date of this Amendment No. 7****SIIC TREASURY (B.V.I.) LIMITED**

<b>Date of Transaction</b> (MM/DD/YYYY)	<b>Buy/Sell</b>	<b>Number of Ordinary Shares Sold</b>	<b>Nature of Sale</b>	<b>Average Price Per Share in Hong Kong dollars (HK\$)</b>
11/28/2013	Sell	12,000,000	Open Market	0.63
11/29/2013	Sell	8,500,000	Open Market	0.62
11/29/2013	Sell	18,008,000	Open Market	0.63
01/16/2014	Sell	41,500,000	Open Market	0.70

**S.I. TECHNOLOGY PRODUCTION HOLDINGS LIMITED**

<b>Date of Transaction</b> (MM/DD/YYYY)	<b>Buy/Sell</b>	<b>Number of Ordinary Shares Sold</b>	<b>Nature of Sale</b>	<b>Average Price Per Share in Hong Kong dollars (HK\$)</b>
11/26/2013	Sell	50,000,000	Open Market	0.60
11/27/2013	Sell	16,601,000	Open Market	0.60
11/28/2013	Sell	8,000,000	Open Market	0.63
11/28/2013	Sell	20,000,000	Open Market	0.62
11/28/2013	Sell	27,000,000	Open Market	0.61
11/28/2013	Sell	23,399,000	Open Market	0.60
01/20/2014	Sell	10,000,000	Open Market	0.79
01/20/2014	Sell	20,000,000	Open Market	0.78
01/20/2014	Sell	20,000,000	Open Market	0.77