TCW STRATEGIC INCOME FUND INC Form N-PX August 20, 2014

As filed with the Securities and Exchange Commission on August 20, 2014.

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED

MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-04980

TCW Strategic Income Fund, Inc.

(Exact Name of Registrant as Specified in Charter)

865 South Figueroa Street, Los Angeles, CA 90017

(Address of Principal Executive Offices) (Zip Code)

Patrick W. Dennis, Assistant Secretary, 865 South Figueroa Street, Los Angeles, CA 900171

(Name and Address of Agent for Service)

Registrant s Telephone Number, including Area Code: (213) 244-0000

Date of fiscal year end: December 31

Date of reporting period: July 1, 2013 - June 30, 2014

Item 1: Proxy Voting Record - Item1 Proxy Voting Record -

Registrant:

Fund Name: TCW Strategic Income Fund, Inc.

In all markets, for all statuses, for

Key-

Activision Blizzard, Inc.

Ticker Security ID: Meeting Date Meeting Status

ATVI CUSIP 00507V109 06/05/2014 Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert J. Corti	Mgmt	For	For	For
2	Elect Brian G. Kelly	Mgmt	For	For	For
3	Elect Robert A. Kotick	Mgmt	For	For	For
4	Elect Barry Meyer	Mgmt	For	For	For
5	Elect Robert J. Morgado	Mgmt	For	For	For
6	Elect Peter Nolan	Mgmt	For	For	For
7	Elect Richard Sarnoff	Mgmt	For	For	For
8	Elect Elaine P. Wynn	Mgmt	For	For	For
9	2014 Incentive Plan	Mgmt	For	For	For
10	Amendment of Provisions Related to Company s Relationship with	Mgmt	For	For	For
	Vivendi, S.A.				
11	Reduction of Supermajority Vote Requirements for Amending	Mgmt	For	For	For
	Certain Articles				
12	Amendment of Provisions Related to the Abilities of the Board and	Mgmt	For	Against	Against
	Shareholders to Amend Bylaws				
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

Page 1

Allstate Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
AII	CUSID 020002101	05/20/2014	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect F. Duane Ackerman	Mgmt	For	For	For
2	Elect Robert D. Beyer	Mgmt	For	For	For
3	Elect Kermit R. Crawford	Mgmt	For	For	For
4	Elect Jack M. Greenberg	Mgmt	For	For	For
5	Elect Herbert L. Henkel	Mgmt	For	For	For
6	Elect Siddarth N. Mehta	Mgmt	For	For	For
7	Elect Andrea Redmond	Mgmt	For	For	For
8	Elect John W. Rowe	Mgmt	For	For	For
9	Elect Judith A. Sprieser	Mgmt	For	For	For
10	Elect Mary Alice Taylor	Mgmt	For	For	For
11	Elect Thomas J. Wilson	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Approval of Material Terms of the Annual Incentive Plan	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against	For	Against

American Capital Agency Corp.

Ticker Security ID: Meeting Date Meeting Status AGNC CUSIP 02503X105 04/22/2014 Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Robert M Couch	Mgmt	For	For	For
2	Elect Morris A. Davis	Mgmt	For	For	For
3	Elect Randy E. Dobbs	Mgmt	For	For	For
4	Elect Larry K. Harvey	Mgmt	For	For	For
5	Elect Prue B. Larocca	Mgmt	For	For	For
6	Elect Alvin N. Puryear	Mgmt	For	For	For
7	Elect Malon Wilkus	Mgmt	For	For	For
8	Elect John R. Erickson	Mgmt	For	Abstain	Against
9	Elect Samuel A Flax	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For

American Electric Power Company, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
AEP	CUSIP 025537101	04/22/2014	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nicholas K. Akins	Mgmt	For	For	For
2	Elect David J. Anderson	Mgmt	For	For	For
3	Elect J. Barnie Beasley, Jr.	Mgmt	For	For	For
4	Elect Ralph D. Crosby, Jr.	Mgmt	For	For	For
5	Elect Linda A. Goodspeed	Mgmt	For	For	For
6	Elect Thomas E. Hoaglin	Mgmt	For	For	For
7	Elect Sandra Beach Lin	Mgmt	For	For	For
8	Elect Richard C. Notebaert	Mgmt	For	For	For
9	Elect Lionel L. Nowell, III	Mgmt	For	For	For
10	Elect Stephen S. Rasmussen	Mgmt	For	For	For
11	Elect Oliver G. Richard, III	Mgmt	For	For	For
12	Elect Sara M. Tucker	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

American Express Company

Ticker	Security ID:	Meeting Date	Meeting Status
AXP	CUSIP 025816109	05/12/2014	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Charlene Barshefsky	Mgmt	For	For	For
2	Elect Ursula M. Burns	Mgmt	For	For	For
3	Elect Kenneth I. Chenault	Mgmt	For	For	For
4	Elect Peter Chernin	Mgmt	For	For	For
5	Elect Anne Lauvergeon	Mgmt	For	For	For
6	Elect Theodore J. Leonsis	Mgmt	For	For	For
7	Elect Richard C. Levin	Mgmt	For	For	For
8	Elect Richard A. McGinn	Mgmt	For	For	For
9	Elect Samuel J. Palmisano	Mgmt	For	For	For

10	Elect Steven S. Reinemund	Mgmt	For	For	For
11	Elect Daniel L. Vasella	Mgmt	For	For	For
12	Elect Robert D. Walter	Mgmt	For	For	For
13	Elect Ronald A. Williams	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Shareholder Proposal Regarding Employment Diversity Report	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Privacy and Data Security	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against
19	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against	Against	For

Ameriprise Financial, Inc.

Annual

Ticker	Security ID:	Meeting Date	Meeting Status
AMP	CUSIP 03076C106	04/30/2014	Voted
Meeting Type		Country of Trade	

United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect James M. Cracchiolo	Mgmt	For	For	For
2	Elect Dianne Neal Blixt	Mgmt	For	For	For
3	Elect Amy DiGeso	Mgmt	For	For	For
4	Elect Lon R. Greenberg	Mgmt	For	For	For
5	Elect W. Walker Lewis	Mgmt	For	For	For
6	Elect Siri S. Marshall	Mgmt	For	For	For
7	Elect Jeffrey Noddle	Mgmt	For	For	For
8	Elect H. Jay Sarles	Mgmt	For	For	For
9	Elect Robert F. Sharpe, Jr.	Mgmt	For	For	For
10	Elect William H. Turner	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Elimination of Supermajority Requirements	Mgmt	For	For	For
13	Amendment to the 2005 Incentive Compensation Plan	Mgmt	For	For	For

Page 4

14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Political Contributions and Expenditures	ShrHoldr	Against	For	Against
	Report				

AT&T Inc.

Security ID: CUSIP 00206R102 Meeting Date 04/25/2014 Ticker Meeting Status

Voted

Meeting Type Annual Country of Trade United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Randall L. Stephenson	Mgmt	For	For	For
2	Elect Reuben V. Anderson	Mgmt	For	For	For
3	Elect Jaime Chico Pardo	Mgmt	For	For	For
4	Elect Scott T. Ford	Mgmt	For	For	For
5	Elect James P. Kelly	Mgmt	For	For	For
6	Elect Jon C. Madonna	Mgmt	For	For	For
7	Elect Michael B. McCallister	Mgmt	For	For	For
8	Elect John B. McCoy	Mgmt	For	For	For
9	Elect Beth E. Mooney	Mgmt	For	For	For
10	Elect Joyce M. Roche	Mgmt	For	For	For
11	Elect Matthew K. Rose	Mgmt	For	For	For
12	Elect Cynthia B. Taylor	Mgmt	For	For	For
13	Elect Laura D Andrea Tyson	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Approval of AT&T Severance Policy	Mgmt	For	For	For
17	Shareholder Proposal Regarding Political Contributions and	ShrHoldr	Against	For	Against
	Expenditures Report				
18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
19	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against

Page 5

Avery Dennison Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
AVY	CUSIP 053611109	04/24/2014	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Bradley A. Alford	Mgmt	For	For	For
2	Elect Anthony K. Anderson	Mgmt	For	For	For
3	Elect Peter K. Barker	Mgmt	For	For	For
4	Elect Rolf Borjesson	Mgmt	For	For	For
5	Elect Ken C. Hicks	Mgmt	For	For	For
6	Elect Charles H. Noski	Mgmt	For	For	For
7	Elect David E.I. Pyott	Mgmt	For	For	For
8	Elect Dean A. Scarborough	Mgmt	For	For	For
9	Elect Patrick T. Siewert	Mgmt	For	For	For
10	Elect Julia A. Stewart	Mgmt	For	For	For
11	Elect Martha Sullivan	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Senior Executive Annual Incentive Plan	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For

Baker Hughes Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status
BHI	CUSIP 057224107	04/24/2014	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Larry D. Brady	Mgmt	For	For	For
2	Elect Clarence P. Cazalot, Jr.	Mgmt	For	For	For
3	Elect Martin S. Craighead	Mgmt	For	For	For
4	Elect Lynn L. Elsenhans	Mgmt	For	For	For
5	Elect Anthony G. Fernandes	Mgmt	For	For	For
6	Elect Claire W. Gargalli	Mgmt	For	For	For
7	Elect Pierre J. Jungels	Mgmt	For	For	For
8	Elect James A. Lash	Mgmt	For	For	For
9	Elect J. Larry Nichols	Mgmt	For	For	For
10	Elect James W. Stewart	Mgmt	For	For	For

11	Elect Charles L. Watson	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Amendment to the 2002 Director and Officer long-Term Incentive Plan	Mgmt	For	For	For
15	Amendment to the 2002 Employee Long-Term Incentive Plan	Mgmt	For	For	For

CA, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
CA	CUSIP 12673P105	07/31/2013	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Jens Alder	Mgmt	For	For	For
2	Elect Raymond J. Bromark	Mgmt	For	For	For
3	Elect Gary J. Fernandes	Mgmt	For	For	For
4	Elect Michael Gregoire	Mgmt	For	For	For
5	Elect Rohit Kapoor	Mgmt	For	For	For
6	Elect Kay Koplovitz	Mgmt	For	For	For
7	Elect Christopher B. Lofgren	Mgmt	For	For	For
8	Elect Richard Sulpizio	Mgmt	For	For	For
9	Elect Laura S. Unger	Mgmt	For	For	For
10	Elect Arthur F. Weinbach	Mgmt	For	For	For
11	Elect Ron Zambonini	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Adoption of Stockholder Protection Rights Agreement	Mgmt	For	Against	Against

Campbell Soup Company

Ticker	Security ID:	Meeting Date	Meeting Status
CPB	CUSIP 134429109	11/20/2013	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Edmund M. Carpenter	Mgmt	For	For	For
1.2	Elect Paul R. Charron	Mgmt	For	For	For
1.3	Elect Bennett Dorrance	Mgmt	For	For	For
1.4	Elect Lawrence C. Karlson	Mgmt	For	For	For
1.5	Elect Randall W. Larrimore	Mgmt	For	For	For
1.6	Elect Mary Alice D. Malone	Mgmt	For	For	For
1.7	Elect Sara Mathew	Mgmt	For	For	For
1.8	Elect Denise M. Morrison	Mgmt	For	For	For
1.9	Elect Charles R. Perrin	Mgmt	For	For	For
1.10	Elect A. Barry Rand	Mgmt	For	For	For
1.11	Elect Nick Shreiber	Mgmt	For	For	For
1.12	Elect Tracey Travis	Mgmt	For	For	For
1.13	Elect Archbold D. van Beuren	Mgmt	For	For	For
1.14	Elect Les C. Vinney	Mgmt	For	For	For
1.15	Elect Charlotte C. Weber	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Chevron Corporation

Ticker Security ID: Meeting Date Meeting Status CVX CUSIP 166764100 05/28/2014 Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Linnet F. Deily	Mgmt	For	For	For
2	Elect Robert E. Denham	Mgmt	For	For	For
3	Elect Alice P. Gast	Mgmt	For	For	For
4	Elect Enrique Hernandez, Jr.	Mgmt	For	For	For
5	Elect Jon M. Huntsman, Jr.	Mgmt	For	For	For
6	Elect George L. Kirkland	Mgmt	For	For	For
7	Elect Charles W. Moorman, IV	Mgmt	For	For	For
8	Elect Kevin W. Sharer	Mgmt	For	For	For
9	Elect John G. Stumpf	Mgmt	For	For	For
10	Elect Ronald D. Sugar	Mgmt	For	For	For
11	Elect Carl Ware	Mgmt	For	For	For

12	Elect John S. Watson	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Page 8

15	Shareholder Proposal Regarding Charitable Contributions	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Hydraulic Fracturing	ShrHoldr	Against	For	Against
18	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
20	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against	Against	For
21	Shareholder Proposal Regarding Country Selection Guidelines	ShrHoldr	Against	For	Against

Cisco Systems, Inc.

Ticker	Security ID:	Meeting Date 11/19/2013	Meeting Status
CSCO	CUSIP 17275R102		Voted
Meeting Type Annual		Country of Trade United States	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Carol A. Bartz	Mgmt	For	For	For
2	Elect Marc Benioff	Mgmt	For	For	For
3	Elect Gregory Q. Brown	Mgmt	For	For	For
4	Elect M. Michele Burns	Mgmt	For	For	For
5	Elect Michael D. Capellas	Mgmt	For	For	For
6	Elect John T. Chambers	Mgmt	For	For	For
7	Elect Brian L. Halla	Mgmt	For	For	For
8	Elect John L. Hennessy	Mgmt	For	For	For
9	Elect Kristina M. Johnson	Mgmt	For	For	For
10	Elect Roderick C. McGeary	Mgmt	For	For	For
11	Elect Arun Sarin	Mgmt	For	For	For
12	Elect Steven M. West	Mgmt	For	For	For

Page 9

13	Amendment to the 2005 Stock Incentive Plan	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Proxy Advice Contest	ShrHoldr	Against	Against	For

Citigroup Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
C	CUSIP 172967424	04/22/2014	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael L. Corbat	Mgmt	For	For	For
2		•			
2	Elect Duncan P. Hennes	Mgmt	For	For	For
3	Elect Franz B. Humer	Mgmt	For	For	For
4	Elect Eugene M. McQuade	Mgmt	For	For	For
5	Elect Michael E. O Neill	Mgmt	For	For	For
6	Elect Gary M. Reiner	Mgmt	For	For	For
7	Elect Judith Rodin	Mgmt	For	For	For
8	Elect Robert L. Ryan	Mgmt	For	For	For
9	Elect Anthony M. Santomero	Mgmt	For	For	For
10	Elect Joan E. Spero	Mgmt	For	For	For
11	Elect Diana L. Taylor	Mgmt	For	For	For
12	Elect William S. Thompson, Jr.	Mgmt	For	For	For
13	Elect James S. Turley	Mgmt	For	For	For
14	Elect Ernesto Zedillo Ponce de Leon	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Approval of the 2014 Stock Incentive Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against	Against	For
19	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against

Page 10

20 21	Shareholder Proposal F Shareholder Proposal F				ShrHoldr ShrHoldr	Against Against	Against For Against For
Comcast Corpo	oration						
Ticker CMCSA	Security ID: CUSIP 20030N200	Meeting Date 05/21/2014	Meeting Status Voted				
Meeting Type Annual		Country of Trade United States					
Issue No. 1.1 1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9 1.10 1.11 1.12 2 3 4 5	Description Elect Kenneth J. Bacon Elect Sheldon M. Bonovi Elect Edward D. Breen Elect Joseph J. Collins Elect J. Michael Cook Elect Gerald L. Hassell Elect Jeffrey A. Honickm Elect Eduardo G. Mestre Elect Brian L. Roberts Elect Ralph J. Roberts Elect Johnathan A. Rodge Elect Judith Rodin Ratification of Auditor Advisory Vote on Execut Shareholder Proposal Reg Shareholder Proposal Reg Change in Control	ers ive Compensation garding Lobbying Re		Proponent Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgm	For	Vote Cast For	For/Against Mgmt For
Dell Inc.							
Ticker DELL Meeting Type Annual	Security ID: CUSIP 24702R101	Meeting Date 10/17/2013 Country of Trade United States	Meeting Status Voted				
Issue No.	Description Elect Donald J. Carty			Proponent Mgmt	Mgmt Rec For	Vote Cast For	For/Against Mgmt For

					<u> </u>
2	Elect Janet F. Clark	Mgmt	For	For	For
3	Elect Laura Conigliaro	Mgmt	For	For	For
4	Elect Michael S. Dell	Mgmt	For	For	For
5	Elect Kenneth M. Duberstein	Mgmt	For	For	For
6	Elect Gerard Kleisterlee	Mgmt	For	For	For
7	Elect Klaus S. Luft	Mgmt	For	For	For
8	Elect Alex J. Mandl	Mgmt	For	For	For
9	Elect Shantanu Narayen	Mgmt	For	For	For
10	Elect H. Ross Perot, Jr.	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against

Devon Energy Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
DVN	CUSIP 25179M103	06/04/2014	Take No Action

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Barbara M. Baumann	Mgmt	For	TNA	N/A
1.2	Elect John E. Bethancourt	Mgmt	For	TNA	N/A
1.3	Elect Robert H. Henry	Mgmt	For	TNA	N/A
1.4	Elect John A. Hill	Mgmt	For	TNA	N/A
1.5	Elect Michael M. Kanovsky	Mgmt	For	TNA	N/A
1.6	Elect Robert A. Mosbacher, Jr.	Mgmt	For	TNA	N/A
1.7	Elect J. Larry Nichols	Mgmt	For	TNA	N/A
1.8	Elect Duane C. Radtke	Mgmt	For	TNA	N/A
1.9	Elect Mary P. Ricciardello	Mgmt	For	TNA	N/A
1.10	Elect John Richels	Mgmt	For	TNA	N/A
2	Advisory Vote on Executive Compensation	Mgmt	For	TNA	N/A
3	Ratification of Auditor	Mgmt	For	TNA	N/A
4	Shareholder Proposal Regarding Carbon Asset Risk Report	ShrHoldr	Against	TNA	N/A
5	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	TNA	N/A
6	Shareholder Proposal Regarding Report on Lobbying Activities	ShrHoldr	Against	TNA	N/A
	Related to Energy Policy and Climate Change				

Page 12

Dynegy Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
DYN	CUSIP 26817R108	05/29/2014	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Hillary E. Ackermann	Mgmt	For	For	For
1.2	Elect Paul M. Barbas	Mgmt	For	For	For
1.3	Elect Robert C. Flexon	Mgmt	For	For	For
1.4	Elect Richard Lee Kuersteiner	Mgmt	For	For	For
1.5	Elect Jeffrey S. Stein	Mgmt	For	For	For
1.6	Elect John R. Sult	Mgmt	For	For	For
1.7	Elect Pat Wood III	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

E.I. du Pont de Nemours and Company

Ticker	Security ID:	Meeting Date	Meeting Status
DD	CUSIP 263534109	04/23/2014	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Lamberto Andreotti	Mgmt	For	For	For
2	Elect Richard H. Brown	Mgmt	For	For	For
3	Elect Robert A. Brown	Mgmt	For	For	For
4	Elect Bertrand P. Collomb	Mgmt	For	For	For
5	Elect Curtis J. Crawford	Mgmt	For	For	For
6	Elect Alexander M. Cutler	Mgmt	For	For	For
7	Elect Eleuthere I. du Pont	Mgmt	For	For	For
8	Elect Marillyn A. Hewson	Mgmt	For	For	For
9	Elect Lois D. Juliber	Mgmt	For	For	For

10	Elect Ellen J. Kullman	Mgmt	For	For	For
11	Elect Lee M. Thomas	Mgmt	For	For	For
12	Elect Patrick J. Ward	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Shareholder Proposal Regarding Prohibition of Political Spending	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Herbicide Use	ShrHoldr	Against	Against	For
17	Shareholder Proposal Regarding Report on Impact of Plant Closures	ShrHoldr	Against	Against	For
18	Shareholder Proposal Regarding Compensation in the Event of a Change in	ShrHoldr	Against	Against	For
	Control				

Ensco plc

Ticker	Security ID:	Meeting Date	Meeting Status
ESV	CUSIP G3157S106	05/19/2014	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect J. Roderick Clark	Mgmt	For	For	For
2	Elect Roxanne J. Decyk	Mgmt	For	For	For
3	Elect Mary Francis	Mgmt	For	For	For
4	Elect C. Christopher Gaut	Mgmt	For	For	For
5	Elect Gerald W. Haddock	Mgmt	For	For	For
6	Elect Francis S Kalman	Mgmt	For	For	For
7	Elect Daniel W. Rabun	Mgmt	For	For	For
8	Elect Keith O. Rattie	Mgmt	For	For	For
9	Elect Paul E. Rowsey III	Mgmt	For	For	For
10	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Ratification of Statutory Auditor	Mgmt	For	For	For
13	Authority to Set Auditor s Fees	Mgmt	For	For	For

Page 14

14	Remuneration Policy (Binding)	Mgmt	For	For	For
15	Remuneration Report (Advisory)	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Accounts and Reports	Mgmt	For	For	For
18	Approval of Capital Reorganisation	Mgmt	For	For	For
19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For	For	For

Foot Locker, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
FL	CUSIP 344849104	05/21/2014	Take No Action

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Nicholas DiPaolo	Mgmt	For	TNA	N/A
2	Elect Matthew M. McKenna	Mgmt	For	TNA	N/A
3	Elect Steven Oakland	Mgmt	For	TNA	N/A
4	Elect Cheryl N. Turpin	Mgmt	For	TNA	N/A
5	Ratification of Auditor	Mgmt	For	TNA	N/A
6	Repeal of Classified Board	Mgmt	For	TNA	N/A
7	Amendment to the 2007 Stock Incentive Plan	Mgmt	For	TNA	N/A
8	Advisory Vote on Executive Compensation	Mgmt	For	TNA	N/A

Gap Inc.

Ticker Security ID: Meeting Date Meeting Status GPS CUSIP 364760108 05/20/2014 Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Domenico De Sole	Mgmt	For	For	For
2	Elect Robert J. Fisher	Mgmt	For	For	For
3	Elect William S. Fisher	Mgmt	For	For	For
4	Elect Isabella D. Goren	Mgmt	For	For	For
5	Elect Bob L. Martin	Mgmt	For	For	For
6	Elect Jorge P. Montoya	Mgmt	For	For	For
7	Elect Glenn K. Murphy	Mgmt	For	For	For
8	Elect Mayo A. Shattuck III	Mgmt	For	For	For

9	Elect Katherine Tsang	Mgmt	For	For	For
10	Elect Padmasree Warrior	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

General Electric Company

Ticker Security ID: Meeting Date Meeting Status GE CUSIP 369604103 04/23/2014 Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect W. Geoffrey Beattie	Mgmt	For	For	For
2	Elect John J. Brennan	Mgmt	For	For	For
3	Elect James I. Cash, Jr.	Mgmt	For	For	For
4	Elect Francisco D Souza	Mgmt	For	For	For
5	Elect Marijn E. Dekkers	Mgmt	For	For	For
6	Elect Ann M. Fudge	Mgmt	For	For	For
7	Elect Susan Hockfield	Mgmt	For	For	For
8	Elect Jeffrey R. Immelt	Mgmt	For	For	For
9	Elect Andrea Jung	Mgmt	For	For	For
10	Elect Robert W. Lane	Mgmt	For	For	For
11	Elect Rochelle B. Lazarus	Mgmt	For	For	For
12	Elect James J. Mulva	Mgmt	For	For	For
13	Elect James E. Rohr	Mgmt	For	For	For
14	Elect Mary L. Schapiro	Mgmt	For	For	For
15	Elect Robert J. Swieringa	Mgmt	For	For	For
16	Elect James S. Tisch	Mgmt	For	For	For
17	Elect Douglas A. Warner III	Mgmt	For	For	For
18	Advisory Vote on Executive Compensation	Mgmt	For	For	For
19	Ratification of Auditor	Mgmt	For	For	For
20	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	For	Against
21	Shareholder Proposal Regarding Retention of Shares	ShrHoldr	Against	Against	For
22	Shareholder Proposal Regarding Double Board Nominees	ShrHoldr	Against	Against	For
23	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	Against	For

Page 16

24 25		1 6 6			ShrHoldr ShrHoldr	Against Against	Against Against	For For	
Home Depot, I	Inc.								
Ticker HD Meeting Type Annual	Security ID: CUSIP 437076102	Meeting Date 05/22/2014 Country of Trade United States	Meeting Status Voted						
Issue No. 1 2 3 4 5 6 7 8 9 10 11 12 13 14	Description Elect F. Duane Ackerman Elect Francis S. Blake Elect Ari Bousbib Elect Gregory D. Brennen Elect J. Frank Brown Elect Albert P. Carey Elect Armando M. Codina Elect Helena B. Foulkes Elect Wayne M. Hewett Elect Karen Katen Elect Mark Vadon Ratification of Auditor Advisory Vote on Executi Shareholder Proposal Registation	ve Compensation arding Right to Call		Mg Shr	emt emt emt emt emt emt emt emt emt emt	Mgmt Rec For For For For For For For For For For	For	For/Against For	Mgmt
Honeywell Inte	ernational Inc.								
Ticker HON Meeting Type Annual	Security ID: CUSIP 438516106	Meeting Date 04/28/2014 Country of Trade United States	Meeting Status Voted						
Issue No. 1 2	Description Elect Gordon M. Bethun Elect Kevin Burke	e		Proponent Mgmt Mgmt	Mgn For For	nt Rec	Vote Cast For For	For/Against For For	Mgmt

			_	_	
3	Elect Jaime Chico Pardo	Mgmt	For	For	For
4	Elect David M. Cote	Mgmt	For	For	For
5	Elect D. Scott Davis	Mgmt	For	For	For
6	Elect Linnet F. Deily	Mgmt	For	For	For
7	Elect Judd Gregg	Mgmt	For	For	For
8	Elect Clive Hollick	Mgmt	For	For	For
9	Elect Grace D. Lieblein	Mgmt	For	For	For
10	Elect George Paz	Mgmt	For	For	For
11	Elect Bradley T. Sheares	Mgmt	For	For	For
12	Elect Robin L. Washington	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	Against	For
16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	For	Against
17	Shareholder Proposal Regarding Compensation in the Event of a Change in	ShrHoldr	Against	Against	For
	Control				
18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against

Intel Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
INTC	CUSIP 458140100	05/22/2014	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Charlene Barshefsky	Mgmt	For	For	For
2	Elect Andy D. Bryant	Mgmt	For	For	For
3	Elect Susan L. Decker	Mgmt	For	For	For
4	Elect John J. Donahoe	Mgmt	For	For	For
5	Elect Reed E. Hundt	Mgmt	For	For	For
6	Elect Brian M. Krzanich	Mgmt	For	For	For
7	Elect James D. Plummer	Mgmt	For	For	For
8	Elect David S. Pottruck	Mgmt	For	For	For
9	Elect Frank D. Yeary	Mgmt	For	For	For
10	Elect David B. Yoffie	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Page 18

Johnson & Johnson

Ticker	Security ID:	Meeting Date	Meeting Status
JNJ	CUSIP 478160104	04/24/2014	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Mary Sue Coleman	Mgmt	For	For	For
2	Elect James G. Cullen	Mgmt	For	For	For
3	Elect Ian E.L. Davis	Mgmt	For	For	For
4	Elect Alex Gorsky	Mgmt	For	For	For
5	Elect Susan L. Lindquist	Mgmt	For	For	For
6	Elect Mark B. McClellan	Mgmt	For	For	For
7	Elect Anne M. Mulcahy	Mgmt	For	For	For
8	Elect Leo F. Mullin	Mgmt	For	For	For
9	Elect William D. Perez	Mgmt	For	For	For
10	Elect Charles Prince	Mgmt	For	For	For
11	Elect A. Eugene Washington	Mgmt	For	For	For
12	Elect Ronald A. Williams	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against	Against	For

JPMorgan Chase & Co.

Ticker Security ID: Meeting Date Meeting Status JPM CUSIP 46625H100 05/20/2014 Voted

Meeting Type Country of Trade Annual United States

Description Proponent Mgmt Rec Vote Cast For/Against Mgmt Issue No. 1 Elect Linda B. Bammann Mgmt For For For 2 Elect James A. Bell Mgmt For For For 3 Elect Crandall C. Bowles Mgmt For For For 4 Elect Stephen B. Burke Mgmt For For For 5 Elect James S. Crown Mgmt For For For Elect James Dimon Mgmt For For For 7 Elect Timothy P. Flynn Mgmt For For For 8 Elect Laban P. Jackson, Jr. Mgmt For For For 9 Elect Michael A. Neal Mgmt For For For 10 Elect Lee R. Raymond Mgmt For For For Elect William C. Weldon 11 Mgmt For For For Advisory Vote on Executive Compensation Mgmt For 12 For For

Ratification of Auditor Mgmt For For For

Page 19

14	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	For	Against
15	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	For	Against
16	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against	For	Against

Kimberly-Clark Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
KMB	CUSIP 494368103	05/01/2014	Unvoted

Meeting Type Country of Trade Annual United States

Issue No.	Description Elect John R. Alm	Proponent Mgmt	Mgmt Rec For	Vote Cast N/A	For/Against Mgmt N/A
2	Elect John F. Bergstrom	Mgmt	For	N/A	N/A
3	Elect Abelardo E. Bru	Mgmt	For	N/A	N/A
4	Elect Robert W. Decherd	Mgmt	For	N/A	N/A
5	Elect Thomas J. Falk	Mgmt	For	N/A	N/A
6	Elect Fabian T. Garcia	Mgmt	For	N/A	N/A
7	Elect Mae C. Jemison	Mgmt	For	N/A	N/A
8	Elect James M. Jenness	Mgmt	For	N/A	N/A
9	Elect Nancy J. Karch	Mgmt	For	N/A	N/A
10	Elect Ian C. Read	Mgmt	For	N/A	N/A
11	Elect Linda Johnson Rice	Mgmt	For	N/A	N/A
12	Elect Marc J. Shapiro	Mgmt	For	N/A	N/A
13	Ratification of Auditor	Mgmt	For	N/A	N/A
14	Advisory Vote on Executive Compensation	Mgmt	For	N/A	N/A
15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	N/A	N/A

Kimco Realty Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
KIM	CUSIP 49446R109	05/06/2014	Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Milton Cooper	Mgmt	For	For	For
1.2	Elect Philip E. Coviello	Mgmt	For	For	For
1.3	Elect Richard G. Dooley	Mgmt	For	For	For
1.4	Elect Joe Grills	Mgmt	For	For	For
1.5	Elect David B. Henry	Mgmt	For	For	For
1.6	Elect F. Patrick Hughes	Mgmt	For	For	For
1.7	Elect Frank Lourenso	Mgmt	For	For	For

1.8 Elect Colombe M. Nicholas Mgmt For For For

Page 20

1.9	Elect Richard Saltzman	Mgmt	For	For	For
2	Elimination of Supermajority Requirement	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

Koninklijke Philips Electronics N.V.

Ticker	Security ID:	Meeting Date 05/01/2014	Meeting Status
PHIA	CUSIP 500472303		Voted
Meeting Type Annual		Country of Trade United States	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Accounts and Reports	Mgmt	For	For	For
2	Allocation of Profits/Dividends	Mgmt	For	For	For
3	Ratification of Management Board Acts	Mgmt	For	For	For
4	Ratification of Supervisory Board Acts	Mgmt	For	For	For
5	Elect Orit Gadiesh to the Supervisory Board	Mgmt	For	For	For
6	Appointment of Auditor	Mgmt	For	For	For
7	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For	For	For
8	Authority to Suppress Preemptive Rights	Mgmt	For	For	For
9	Authority to Repurchase Shares	Mgmt	For	For	For
10	Authority to Cancel Shares	Mgmt	For	For	For

Lennar Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
LEN	CUSIP 526057302	04/09/2014	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Irving Bolotin	Mgmt	For	For	For
1.2	Elect Steven L. Gerard	Mgmt	For	For	For
1.3	Elect Theron I. Gilliam	Mgmt	For	For	For
1.4	Elect Sherrill W. Hudson	Mgmt	For	For	For
1.5	Elect R. Kirk Landon	Mgmt	For	For	For
1.6	Elect Sidney Lapidus	Mgmt	For	For	For
1.7	Elect Stuart A. Miller	Mgmt	For	For	For
1.8	Elect Teri P. McClure	Mgmt	For	For	For
1.9	Elect Jeffrey A. Sonnenfeld	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Maxim Integrated Products, Inc.

Ticker Security ID: Meeting Date Meeting Status MXIM CUSIP 57772K101 11/13/2013 Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Tunc Doluca	Mgmt	For	For	For
1.2	Elect B. Kipling Hagopian	Mgmt	For	For	For
1.3	Elect James R. Bergman	Mgmt	For	For	For
1.4	Elect Joseph R. Bronson	Mgmt	For	For	For
1.5	Elect Robert E. Grady	Mgmt	For	For	For
1.6	Elect William D. Watkins	Mgmt	For	For	For
1.7	Elect A.R. Frank Wazzan	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amendment to the 1996 Stock Incentive Plan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

MeadWestvaco Corporation

Ticker	Security ID:	Meeting Date	Meeting Status
MWV	CUSIP 583334107	04/28/2014	Unvoted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Michael E. Campbell	Mgmt	For	N/A	N/A
2	Elect James G. Kaiser	Mgmt	For	N/A	N/A
3	Elect Richard B. Kelson	Mgmt	For	N/A	N/A
4	Elect Susan J. Kropf	Mgmt	For	N/A	N/A
5	Elect John A. Luke, Jr.	Mgmt	For	N/A	N/A
6	Elect Gracia C. Martore	Mgmt	For	N/A	N/A
7	Elect Timothy H. Powers	Mgmt	For	N/A	N/A
8	Elect Jane L. Warner	Mgmt	For	N/A	N/A
9	Elect Alan D. Wilson	Mgmt	For	N/A	N/A
10	Ratification of Auditor	Mgmt	For	N/A	N/A
11	Advisory Vote on Executive Compensation	Mgmt	For	N/A	N/A

Medtronic, Inc.

Ticker Security ID: Meeting Date Meeting Status MDT CUSIP 585055106 08/22/2013 Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Richard H. Anderson	Mgmt	For	For	For
1.2	Elect Scott C. Donnelly	Mgmt	For	For	For
1.3	Elect Victor J. Dzau	Mgmt	For	For	For
1.4	Elect Omar Ishrak	Mgmt	For	For	For
1.5	Elect Shirley A. Jackson	Mgmt	For	For	For
1.6	Elect Michael O. Leavitt	Mgmt	For	For	For
1.7	Elect James T. Lenehan	Mgmt	For	For	For
1.8	Elect Denise M. O Leary	Mgmt	For	For	For
1.9	Elect Kendall J. Powell	Mgmt	For	For	For
1.10	Elect Robert C. Pozen	Mgmt	For	For	For
1.11	Elect Preetha Reddy	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	2013 Stock Award and Incentive Plan	Mgmt	For	For	For
5	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
6	Elimination of Supermajority Requirement for Changes to Board Size	Mgmt	For	For	For

7	Elimination of Supermajority Requirement for Removal of Directors	Mgmt	For	For	For
8	Elimination of Conforming Language Regarding Supermajority Requirements	Mgmt	For	For	For
9	Repeal of Fair Price Provision	Mgmt	For	For	For

Microchip Technology Incorporated

Ticker	Security ID:	Meeting Date	Meeting Status
MCHP	CUSIP 595017104	08/16/2013	Voted

Meeting Type Country of Trade Annual United States

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Steve Sanghi	Mgmt	For	For	For
1.2	Elect Matthew W. Chapman	Mgmt	For	For	For
1.3	Elect L. B. Day	Mgmt	For	For	For
1.4	Elect Albert J. Hugo-Martinez	Mgmt	For	For	For
1.5	Elect Wade F. Meyercord	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For

Mondelez International Inc.

Ticker	Security ID:	Meeting Date 05/21/2014	Meeting Status	
MDLZ	CUSIP 50075N104		Voted	
MOLZ	CUSIF 30073N104	03/21/2014	voted	

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stephen F. Bollenbach	Mgmt	For	For	For
2	Elect Lewis W.K. Booth	Mgmt	For	For	For
3	Elect Lois D. Juliber	Mgmt	For	For	For
4	Elect Mark D. Ketchum	Mgmt	For	For	For
5	Elect Jorge S. Mesquita	Mgmt	For	For	For
6	Elect Nelson Peltz	Mgmt	For	For	For
7	Elect Fredric G. Reynolds	Mgmt	For	For	For
8	Elect Irene B. Rosenfeld	Mgmt	For	For	For
9	Elect Patrick T. Siewert	Mgmt	For	For	For
10	Elect Ruth J. Simmons	Mgmt	For	For	For
11	Elect Ratan N. Tata	Mgmt	For	For	For
12	Elect Jean-Francois M. L. van Boxmeer	Mgmt	For	For	For

13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Amendment to the 2005 Performance Incentive Plan	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable	ShrHoldr	Against	Against	For
	Packaging				

Nabors Industries Ltd.

Ticker NBR Meeting Type Annual	Security ID: CUSIP G6359F103	Meeting Date 06/03/2014 Country of Trade United States	Meeting Star Voted	tus				
Issue No. 1.1 1.2 1.3 1.4 1.5 1.6 1.7 2	Description Elect James R. Crane Elect John P. Kotts Elect Michael C. Linn Elect John V. Lombardi Elect Anthony G. Petrello Elect Howard Wolf Elect John Yearwood Ratification of Auditor	n.	Proponent Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgm	Mgmt Rec For For For For For For	Vote Cast For For For For For For (6,557)	For For For For For For		
Segment operating profit	\$	1	1,415	\$	312	\$	2,270	\$ 909

	Site development construction segment For the three months				For the six months			
	ende 2007	d June 30,	2006 (in thousands)		ended June 30, 2007		2006	
Segment revenue Segment cost of revenues (excluding depreciation, accretion and amortization)	\$	14,829	\$	21,069 (19,328)	\$	29,410 (26,571)	\$ 41,371 (38,384)	
Segment operating profit	\$	1,274	\$	1,741	\$	2,839	\$ 2,987	

ITEM 4. CONTROLS AND PROCEDURES

In order to ensure that the information we must disclose in our filings with the SEC is recorded, processed, summarized and reported on a timely basis, we have formalized our disclosure controls and procedures. Our principal executive officer and principal financial officer have reviewed

and evaluated the effectiveness of our disclosure controls and procedures, as defined in Exchange Act Rules 13a-15(e) and 15d-15(e), as of June 30, 2007. Based on such evaluation, such officers have concluded that, as of June 30, 2007, our disclosure controls and procedures were effective.

There have been no changes in our internal control over financial reporting during the quarter ended June 30, 2007 that has materially affected, or is reasonably likely to materially affect, our internal control over financial reporting.

Table of Contents

PART II OTHER INFORMATION

Item 4. Submission of Matters to a Vote of Security Holders

On May 17, 2007, we held our 2007 Annual Meeting of Shareholders (the Meeting). At the Meeting, the shareholders voted on the election of two Class II directors for a term of three years and, in each case, until their successors are duly elected and qualified. The voting results were as follows:

 Name of Nominee
 For
 Withheld

 Jack Langer
 90,918,030
 6,768,431

 Jeffrey A. Stoops
 96,496,620
 1,189,841

In addition, the following directors continued to serve as directors after the Meeting:

Steven E. Bernstein

Brian C. Carr

Duncan H. Cocroft

Philip L. Hawkins

Steven E. Nielsen

ITEM 6. EXHIBITS

- (a) Exhibits
- 5.1 Opinion of Holland & Knight LLP regarding legality of Class A common stock.
- 31.1 Certification by Jeffrey A. Stoops, Chief Executive Officer, pursuant to Section 302 of the Sarbanes-Oxley Act of 2002.
- 31.2 Certification by Anthony J. Macaione, Chief Financial Officer, pursuant to Section 302 of the Sarbanes-Oxley Act of 2002.
- 32.1 Certification by Jeffrey A. Stoops, Chief Executive Officer, pursuant to Section 906 of the Sarbanes-Oxley Act of 2002.
- 32.2 Certification by Anthony J. Macaione, Chief Financial Officer, pursuant to Section 906 of the Sarbanes-Oxley Act of 2002.

46

Table of Contents 33

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

SBA COMMUNICATIONS CORPORATION

August 6, 2007 /s/ Jeffrey A. Stoops

Jeffrey A. Stoops Chief Executive Officer (Duly Authorized Officer)

August 6, 2007

/s/ Anthony J. Macaione Anthony J. Macaione Chief Financial Officer (Principal Financial Officer)

47

Table of Contents 34