

ARDELYX, INC.
Form 8-K
January 26, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): December 22, 2014

Ardelyx, Inc.

(Exact name of Registrant as Specified in its Charter)

Delaware
(State or other jurisdiction

of incorporation)

001-36485
(Commission

File Number)
34175 Ardenwood Blvd.

26-1303944
(I.R.S. Employer

Identification No.)

Edgar Filing: ARDELYX, INC. - Form 8-K

Fremont, CA 94555

(Address of Principal Executive Offices)

(510) 745-1700

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

ITEM 7.01. REGULATION FD DISCLOSURE

In December 2014, each of Michael Raab, President and Chief Executive Officer of Ardelyx, Inc. (Ardelyx), Mark Kaufmann, Chief Financial Officer of Ardelyx, Jeffrey Jacobs, Vice President, Chemistry of Ardelyx, George Jue, Vice President, Finance and Operations of Ardelyx, David Rosenbaum, Senior Vice President, Drug Development of Ardelyx, Elizabeth Grammer, Vice President and General Counsel of Ardelyx and Peter Schultz, Co-founder and Director of Ardelyx entered into a written trading plan in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

Mr. Raab s plan authorizes the sale of up to 39,900 shares of common stock of Ardelyx and ends on June 30, 2015, unless terminated earlier. Mr. Kaufmann s plan authorizes the sale of up to 30,000 shares of common stock of Ardelyx and ends on June 30, 2015, unless terminated earlier. Dr. Jacobs s plan authorizes the sale of up to 39,888 shares of common stock of Ardelyx plus shares purchased pursuant to the Ardelyx Employee Stock Purchase Plan and ends on June 30, 2015, unless terminated earlier. Mr. Jue s plan authorizes the sale of up to 21,500 shares of common stock of Ardelyx and ends on December 15, 2015, unless terminated earlier. Dr. Rosenbaum s plan authorizes the sale of up to 10,110 shares of common stock of Ardelyx and ends on June 15, 2015, unless terminated earlier. Ms. Grammer s plan authorizes the sale of up to 10,000 shares of common stock of Ardelyx plus shares purchased pursuant to the Ardelyx Employee Stock Purchase Plan and ends on June 29, 2015, unless terminated earlier. Dr. Schultz s plan authorizes the sale of up to 240,000 shares of common stock of Ardelyx and ends on July 31, 2015, unless terminated earlier.

Trades under the plans will not occur prior to the 30-day waiting period consistent with Ardelyx s Insider Trading Compliance Policy. Reports of the details of actual sales under the plans will be filed by the appropriate plan participant in accordance with the Securities and Exchange Commission s regulations. Each plan participant may from time to time buy or sell Ardelyx s securities outside of such participant s respective plan.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 26, 2015

ARDELYX, INC.

By: /s/ Mark Kaufmann

Name: Mark Kaufmann

Title: Chief Financial Officer