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TRI-CONTINENTAL CORP

Form NSAR-A

August 28, 2017

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000 A000000 06/30/2017
000 C000000 0000099614
000 D000000 N
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
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008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
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008 D010001 BOSTON
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010 C010001 BOSTON
010 C020001 MA
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
012 C010001 BOSTON
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013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 E010001 X
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
015 B000016 S
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015 A000029 FIRSTRAND BANK LIMITED
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015 A000030 HSBC BANK (CHINA) COMPANY LIMITED
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015 C010038 DOHA
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015 B000040 S
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015 E040040 X
015 A000041 HSBC BANK PLC
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015 D010050 NEW ZEALAND
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015 C010051 TAIPEI
PAGE 7
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015 A000060 REPUBLIC BANK LIMITED
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015 D010060 TRINIDAD AND TOBAGO
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015 A000061 ROYAL BANK OF CANADA
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015 D010061 CANADA
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015 A000062 SANTANDER SECURITIES SERVICES, S.A.
015 B000062 S
015 C010062 MADRID

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015 D010062 SPAIN
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015 D010068 MALAWI
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015 A000069 STANDARD BANK NAMIBIA LIMITED
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015 C010069 WINDHOEK
015 D010069 NAMIBIA
015 E040069 X
015 A000070 STANDARD CHARTERED BANK
015 B000070 S
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015 D010070 JORDAN
015 E040070 X
015 A000071 STANDARD CHARTERED BANK
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015 D010071 BANGLADESH
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PAGE 9
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015 B000072 S
015 C010072 KARACHI
015 D010072 PAKISTAN
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015 A000073 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 A000074 STANDARD CHARTERED BANK BOTSWANA LIMITED
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015 C010074 GABORONE
015 D010074 BOTSWANA

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015 E040074 X
015 A000075 STANDARD CHARTERED BANK COTE D'IVOIRE SA
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015 D010075 IVORY COAST
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015 A000081 SWEDBANK AS
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015 C010081 TALLINN
015 D010081 ESTONIA
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015 A000082 SWEDBANK AS
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015 C010082 RIGA
015 D010082 LATVIA
015 E040082 X
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015 A000084 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000084 S
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015 D010084 MAURITIUS
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015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000085 S
015 C010085 TAGUIG CITY
015 D010085 PHILIPPINES
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 COLOMBO
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015 A000087 UBS Switzerland AG
015 B000087 S
015 C010087 ZURICH
015 D010087 SWITZERLAND
015 E040087 X
015 A000088 UNICREDIT BANK AUSTRIA AG
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015 C010088 VIENNA
015 D010088 AUSTRIA
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015 D010089 CZECH REPUBLIC
015 E040089 X
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015 D010090 SLOVAK REPUBLIC
015 E040090 X
015 A000091 UNICREDIT BANK SRBIJA A.D.
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015 D010091 SERBIA
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015 B000093 S
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015 D010093 CHINA
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015 A000096 Kookmin Bank Co., LTD.
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020 B000001 13-5108880
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020 A000002 BARCLAYS CAPITAL
020 B000002 13-3297824
020 C000002 55
020 A000003 DEUTSCHE BANK SECURITIES INC.

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020 B000003 13-2730828
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020 A000004 RBC CAPITAL MARKETS CORPORATION
020 B000004 13-5033225
020 C000004 29
020 A000005 MORGAN STANLEY
020 B000005 13-2655998
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020 A000006 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000006 13-5659485
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020 A000007 UBS SECURITIES LLC
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020 A000008 J.P. MORGAN SECURITIES, INC.
020 B000008 13-3224016
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020 A000009 JEFFERIES & COMPANY, INC.
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020 A000010 WELLS FARGO INVESTMENTS, LLC
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070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	Y	
070	Q020000	N	
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072	H000000		0
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072	J000000		13
072	K000000		49
072	L000000		0
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072	N000000		0
072	O000000		0
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072	Q000000		0
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072	T000000		0
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072	X000000		3781
072	Y000000		0
072	Z000000		25406
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072BB	000000		0
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072DD	010000		28095
072DD	020000		0
072EE	000000		0
073	A010000		0.5016
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000

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074	A000000	8
074	B000000	0
074	C000000	0
074	D000000	384403
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074	F000000	1038140
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074	K000000	0
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074	R020000	0
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074	R030000	0
074	R040000	1300
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074	T000000	1578097
074	U010000	55898
074	U020000	0
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074	V020000	0.00
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074	Y000000	438
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077	D000000	N
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077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
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077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	52
086	A020000	1193
086	B010000	1257

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086 B020000 29322
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0

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087 A010000 Tri-Continental - Common Stock:
087 A020000 895436103
087 A030000 TY
087 B010000 Tri-Continental - Preferred Stock
087 B020000 895436202
087 C010000 Tri-Continental - Warrant
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE MICHAEL G. CLARKE
TITLE TREASURER