Carlyle Group L.P. Form 8-K January 02, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 31, 2017

The Carlyle Group L.P.

(Exact Name of Registrant as specified in its charter)

Delaware (State or other Jurisdiction

001-35538 (Commission

45-2832612 (I.R.S. Employer

of Incorporation)

File Number)

Identification No.)

1001 Pennsylvania Avenue, NW

20004-2505

Edgar Filing: Carlyle Group L.P. - Form 8-K

Washington, D.C. (Address of principal executive office)

(Zip Code)

(202) 729-5626

Registrant s telephone number, including area code

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On December 31, 2017, Edward Mathias resigned as a member of the Board of Directors (the Board) of Carlyle Group Management L.L.C., the general partner (the General Partner) of The Carlyle Group L.P. (the Partnership and, together with the General Partner, Carlyle) effective immediately. Mr. Mathias had served as a member of the Board since the Partnership s initial public offering in May 2012. Mr. Mathias continues to serve as a Managing Director of Carlyle.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

THE CARLYLE GROUP L.P.

By: Carlyle Group Management L.L.C., its

general partner

By: /s/ Jeffrey W. Ferguson Name: Jeffrey W. Ferguson Title: General Counsel

Date: January 2, 2018