

NOMURA HOLDINGS INC
Form 6-K
August 09, 2018
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of August 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from July 1, 2018 to July 31, 2018.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: August 9, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents*[Translation of Share Buyback Report for the reporting month from July 1, 2018 to July 31, 2018**filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on August 9, 2018]***Class of Shares: Common Stock****1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of July 31, 2018

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
Total	0	0
Aggregate shares repurchased as of the end of this reporting month	0	0
Progress of share repurchase (%)	0	0

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as of July 31, 2018

	Number of shares disposed in the reporting month (Date)	Aggregate amount of disposition (JPY)	
Treasury shares disposed subject to procedures for issuance of new shares			
Subtotal			
Treasury shares canceled			
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger			
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)			
Subtotal			
Other (exercise of stock acquisition rights)	(Date)	249,300	5,314,300
	July 2	10,000	2,985,000
	July 3	24,900	24,900
	July 4	5,000	1,495,000
	July 5	1,000	299,000
	July 6	8,000	2,392,000
	July 10	51,400	2,731,400
	July 11	2,500	300,500
	July 12	7,900	2,090,900
	July 17	17,900	4,782,900
	July 18	13,500	4,036,500
	July 19	7,000	2,093,000
	July 20	3,000	894,000

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	July 23	9,000	2,685,000
	July 24	8,800	2,094,800
	July 25	4,600	1,373,600
	July 26	9,000	2,691,000
	July 27	10,100	10,100
	July 30	121,000	3,990,000
	July 31		
Subtotal		563,900	42,283,900
Total		563,900	42,283,900

3. Status of shares held in treasury

as of July 31, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	243,356,197