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BANK OF MONTREAL /CAN/ Form 6-K October 10, 2018

# FORM 6-K

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

**Pursuant to Rule 13a-16 or 15d-16** 

of the Securities Exchange Act of 1934

For the month of: October, 2018 Commission File Number: 001-13354

# **BANK OF MONTREAL**

(Name of Registrant)

100 King Street West 1 First Canadian Place Toronto, Ontario Canada, M5X 1A1

129 rue Saint-Jacques Montreal, Quebec Canada, H2Y 1L6

(Executive Offices)

(Head Office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F:

Form 20-F

Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934. Yes No

If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-\_\_\_\_\_\_.

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#### **INCORPORATION BY REFERENCE**

The information contained in this Form 6-K and any exhibits hereto shall be deemed filed with the Securities and Exchange Commission (SEC) solely for purposes of incorporation by reference into and as part of the following registration statements of the registrant on file with and declared effective by the SEC:

1.	Registration Statement	Form F-3	File No. 333-217200
2.	Registration Statement	Form F-3	File No. 333-214934
3.	Registration Statement	Form S-8	File No. 333-191591
4.	Registration Statement	Form S-8	File No. 333-180968
5.	Registration Statement	Form S-8	File No. 333-177579
6.	Registration Statement	Form S-8	File No. 333-177568
7.	Registration Statement	Form S-8	File No. 333-176479
8.	Registration Statement	Form S-8	File No. 333-175413
9.	Registration Statement	Form S-8	File No. 333-175412
10.	Registration Statement	Form S-8	File No. 333-113096
11.	Registration Statement	Form S-8	File No. 333-14260
12.	Registration Statement	Form S-8	File No. 33-92112
13.	Registration Statement	Form S-8	File No. 333-207739

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

#### BANK OF MONTREAL

By: /s/ Thomas E. Flynn Name: Thomas E. Flynn Title: Chief Financial Officer

Date: October 10, 2018 By: /s/ Barbara M. Muir

Name: Barbara M. Muir Title: Corporate Secretary

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#### EXHIBIT INDEX

Exhibit Description of Exhibit

99.1 Press Release BMO Announces Retirements of Chief Risk Officer and Group Head, Asset Management; Announces Senior

**Executive Appointments**