

NOMURA HOLDINGS INC
Form 6-K
March 12, 2019
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of March 2019

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from February 1, 2019 to February 28, 2019.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: March 12, 2019

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

*[Translation of Share Buyback Report for the reporting month from February 1, 2019 to February 28, 2019
filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on March 12, 2019]*

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

as of February 28, 2019

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
Total		
Aggregate shares repurchased as of the end of this reporting month	100,000,000	51,702,988,850
Progress of share repurchase (%)	100.0	73.9

2. Status of disposition

as of February 28, 2019

Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
--	--

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

Treasury shares disposed subject to procedures for issuance of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
Subtotal			
Other (exercise of stock acquisition rights)	(Date)	2,500	2,500
	February 1	206,600	206,600
	February 4	146,800	146,800
	February 5	197,300	197,300
	February 6	84,800	975,800
	February 7	348,600	348,600
	February 8	10,900	10,900
	February 12	28,100	28,100
	February 13	29,500	29,500
	February 14	345,500	345,500
	February 15	122,800	122,800
	February 18	31,200	31,200
	February 19	47,000	47,000
	February 21	5,400	5,400
	February 22	11,400	11,400
	February 25	159,000	159,000
	February 26	26,600	26,600
	February 27	25,800	25,800

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	February 28	
Subtotal	1,829,800	2,720,800
Total	1,829,800	2,720,800

Table of Contents

3. Status of shares held in treasury

as of February 28, 2019

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,493,562,601
Number of shares held in treasury	183,422,878