

Edgar Filing: GENTA INC DE/ - Form 3

GENTA INC DE/
Form 3
February 14, 2003

OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

Groopman Jerome

(Last) (First) (Middle)

c/o Genta, Inc.
Two Connell Drive

(Street)

Berkeley Heights NJ 07922

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

Nov. 26, 2002

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

Genta Incorporated (Nasdaq: GNTA)

5. Relationship of Reporting Person to Issuer (Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. If Amendment, Date of Original (Month/Day/Year)

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7. Individual or Joint/Group Filing (Check applicable line)

- |_| Form filed by One Reporting Person
|_| Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)
2. Amount of Securities Beneficially Owned (Instr. 4)
3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)
4. Nature of Security (Instr. 5)

Table with 4 columns: Title of Security, Amount of Securities Beneficially Owned, Ownership Form, and Nature of Security. The table is currently empty.

(Over)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable and Expiration Date (Month/Day/Year)
3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)
4. Conversion or Exercise Price

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1. Title of Derivative Security (Instr. 4)	Exercisable	Expiration Date	Title	of Shares	Derivative Security

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Explanation of Responses:

----- /s/ Jerome Groopman ----- February 13, 2003 -----
**Signature of Reporting Person Date

- * If the Form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.