Edgar Filing: BARNETT ROBERT L - Form 4/A

BARNETT ROBERT L

Form 4/A

August 25, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

BARNETT ROBERT I

1. Name and Address of Reporting Person *

BARNETT ROBERT L			Symbol JOHNSON CONTROLS INC [JCI]						(Checkell and inches)			
(Last) 5757 N. GF	(First) (REEN BAY AVE	Middle)		of Earliest Transaction /Day/Year) /2004				_	(Check all applicable) _X_ Director 10% Owner Officer (give title Other (specify			
BOX 591								ŀ	pelow)	below)		
MILWAUI	(Street) KEE, WI 53201-0)591	4. If Amendment, Date Original Filed(Month/Day/Year) 01/05/2004					- -	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transacti Code (Instr. 8)	om (I. Securities A or Disposed of Instr. 3, 4 and Amount	f (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock									3,020.509 <u>(1)</u> <u>(2)</u>	D		
Common Stock	01/02/2004			Z	3	3,020.509	D	<u>(3)</u>	0	D		
Common Stock	01/02/2004			Z	3	3,020.509	A	(3)	3,020.509	I	By Trust with Reporting Person as Trustee	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) ve es d		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units / Directors' Deferred Compensation Plan	<u>(4)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	48,253
Phantom Stock Units / Directors' Retirement Plan	<u>(4)</u>					<u>(7)</u>	<u>(7)</u>	Common Stock	10,012.85

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BARNETT ROBERT L 5757 N. GREEN BAY AVE. P.O. BOX 591 MILWAUKEE, WI 53201-0591	X					
0 '						

Signatures

Arlene D. Gumm Attorney-In-Fact for Robert L.

Barnett

08/25/2006

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amended report to include 35.452 pre-split and 11.605 post-split shares which were acquired through the reinvestment of quarterly dividends through 1/2/2004 at prices ranging from \$72.46 to \$94.7992 per share, pre-split.
- (2) On January 2, 2004, the common stock of Johnson Controls, Inc., split 2-for-1, resulting in the reporting person's acquisition of 1536.904 additional shares. The number originally reported did not include the shares acquired through the reinvestment of dividends.
- (3) Transfer from direct ownership to a trust account with the reporting person as the trustee of the trust.
- (4) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (5) The phantom stock units were accrued under the Johnson Controls Directors' Deferred Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (6) Pursuant to a domestic relations order and Rule 16a-1(a)(2), for purposes of Section 16 of the Securities Exchange Act of 1934, the reporting person disclaims beneficial ownership of 24,083 units of the phantom stock in the Deferred Compensation Plan account.
- (7) The phantom stock units were accrued under the Johnson Controls Directors' Retirement Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (8) Pursuant to a domestic relations order and Rule 16a-1(a)(2), for purposes of Section 16 of the Securities Exchange Act of 1934, the reporting person disclaims beneficial ownership of 4239.20 units of the phantom stock in the Deferred Compensation Plan account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.