

BROOKS AUTOMATION INC

Form 3

February 19, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Steven A. Michaud

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/08/2008

3. Issuer Name and Ticker or Trading Symbol

BROOKS AUTOMATION INC [BRKS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP, Critical Components Group

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting

Person

☐ Form filed by More than One Reporting PersonC/O BROOKS AUTOMATION,
INC.,Â 15 ELIZABETH DRIVE

(Street)

CHELMSFORD,Â MAÂ 01824

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

60,437

D

Â

Common Stock

2,042

I

By managed account (1)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of5. Ownership
Form of
Derivative6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	01/26/2006 ⁽²⁾	10/26/2012	Common Stock	10,000	\$ 13.03	D	Â
Non-Qualified Stock Option (right to buy)	10/20/2001 ⁽³⁾	10/20/2010	Common Stock	5,550	\$ 23.32	D	Â
Non-Qualified Stock Option (right to buy)	02/21/2002 ⁽⁴⁾	02/21/2011	Common Stock	3,885	\$ 24.99	D	Â
Non-Qualified Stock Option (right to buy)	02/20/2003 ⁽⁵⁾	02/20/2012	Common Stock	5,550	\$ 18.11	D	Â
Non-Qualified Stock Option (right to buy)	04/28/2005 ⁽⁶⁾	04/28/2014	Common Stock	9,990	\$ 17.34	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Steven A. Michaud C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824	Â	Â	Â SVP, Critical Components Group		Â

Signatures

/s/ Thomas S. Grilk, attorney-in-fact for Steven A. Michaud

02/19/2008

____Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by the reporting person's 401K Plan.
- (2) The option becomes exercisable in sixteen equal quarterly installments (of 625 shares each) beginning January 26, 2006.
- (3) The option became exercisable according to the following schedule: 1,388 shares on October 20, 2001; 1,388 shares on October 20, 2002; 1,387 shares on October 20, 2003; and 1,387 shares on October 20, 2004.
- (4) The option became exercisable according to the following schedule: 972 shares on February 21, 2002; 971 shares on February 21, 2003; 971 shares on February 21, 2004; and 971 shares on February 21, 2005.
- (5) The option became exercisable according to the following schedule: 1,388 shares on February 20, 2003; 1,388 shares on February 20, 2004; 1,387 shares on February 20, 2005; and 1,387 shares on February 20, 2006.
- (6) The option becomes exercisable according to the following schedule: 2,498 shares on April 28, 2005; 2,498 shares on April 28, 2006; 2,497 shares on April 28, 2007; and 2,497 shares on April 28, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.