Plavan Matthew T Form 4 January 16, 2009

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

(Print or Type Responses)

2711 CITRUS RD.

1(b).

1. Name and Address of Reporting Person \*
2. Issuer Name and Ticker or Trading
Plavan Matthew T
5. Relationship of Reporting Person(s) to Issuer

THERMOGENESIS CORP [KOOL]

(Check all applicable)
3. Date of Earliest Transaction

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

 (Month/Day/Year)
 \_\_\_\_\_ Director \_\_\_\_\_ 10% Owner

 01/09/2009
 \_\_\_\_X\_ Officer (give title \_\_\_\_\_ Other (specify below)

 CFO & Interim CEO

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

RANCHO CORDOVA, CA 95742 — Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A)

Code V Amount (D) Price Transaction(s)
(Instr. 3 and 4)

Common Stock  $\underline{^{(1)}}$  01/09/2009 A  $\underline{^{(2)}}$  A 0.56 55,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

#### Edgar Filing: Plavan Matthew T - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options	\$ 0.56	01/09/2009		A	50,000	<u>(4)</u>	01/09/2013	Common Stock	50,000

#### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Plavan Matthew T 2711 CITRUS RD.

CFO & Interim CEO

RANCHO CORDOVA, CA 95742

## **Signatures**

/s/ Matthew T. 01/16/2009 Playan

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Board of Directors approved this grant of Restricted Stock for the reporting person on January 9, 2009 for his service as interim Chief Executive Officer.
- (2) These shares vest in three equal installments on January 9, 2010, 2011 and 2012.
- (3) Represents the fair market value on a per share basis as of January 9, 2009. No purchase price was paid for this grant of restricted stock.
- (4) The option vests in three equal installments on January 9, 2010, 2011 and 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2