Edgar Filing: HALOZYME THERAPEUTICS INC - Form 4

HALOZYME THERAPEUTICS INC

Form 4

December 23, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

OMB APPROVAL

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Form 4 or Form 5 obligations may continue.

See Instruction

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

1. Title of

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person * RAMSAY DAVID A

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

Issuer

Symbol

HALOZYME THERAPEUTICS

(Check all applicable)

INC [HALO]

3. Date of Earliest Transaction

Director 10% Owner Other (specify X_ Officer (give title below) below)

5. Relationship of Reporting Person(s) to

11388 SORRENTO VALLEY **ROAD**

(Middle)

12/20/2013

(Month/Day/Year)

VP, Chief Financial Officer

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN DIEGO, CA 92121

2. Transaction Date 2A. Deemed

(Zip)

(Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) Following (Instr. 4) (Instr. 4)

D

Reported (A) Transaction(s) or

(D) Price

Code V Amount

Common 12/20/2013 stock

6,419 M \$0 243,154 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(Instr. 3 and 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		vative rities aired (A) sposed of :: 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V		(D)		Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	12/20/2013		M		10,281	12/20/2013	05/22/2023	Common Stock	10,281

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RAMSAY DAVID A 11388 SORRENTO VALLEY ROAD SAN DIEGO, CA 92121

VP, Chief Financial Officer

Signatures

David A. Ramsay 12/23/2013

**Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents net settlement for the release of 10,281 shares of a restricted stock unit award granted in May 2013. Upon the release of these (1) units on December 20, 2013, the reporting person received a settlement of 6,419 shares of Halozyme common stock. 3,862 shares were withheld as payment for minimum statutory tax withholding requirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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