Edgar Filing: TRUPANION INC. - Form 3

TRUPANION INC.

Form 3 July 17, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

À BEAUMONT PETER R.

(Last)

(First)

(Middle)

(Month/Day/Year)

07/17/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

TRUPANION INC. [TRUP]

C/O TRUPANION, INC., 907

NW BALLARD WAY

(Street)

Statement

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner _X_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

SEATTLE, WAÂ 98107

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Common Stock

103,004

Common Stock

1,923

Â D

I By spouse

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

Conversion

Ownership Form of

6. Nature of Indirect Beneficial

or Exercise Ownership (Instr. 4) Price of Derivative (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	03/16/2020	Common Stock	54,380	\$ 1.04	D	Â
Stock Option (right to buy)	(1)	09/14/2020	Common Stock	1,923	\$ 1.04	D	Â
Series B Convertible Preferred Stock	(2)	(2)	Common Stock	29,410	\$ <u>(2)</u>	D	Â
Series C Convertible Preferred Stock	(3)	(3)	Common Stock	24,033	\$ (3)	D	Â
Series A Convertible Preferred Stock	(4)	(4)	Common Stock	66,670	\$ <u>(4)</u>	I	By spouse

Reporting Owners

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
BEAUMONT PETER R. C/O TRUPANION, INC. 907 NW BALLARD WAY SEATTLE. WA 98107	ÂX	Â	Â	Â	

Signatures

/s/ Charlotte Sim-Warner as attorney-in-fact for Peter R.

Beaumont

07/17/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is fully vested.
- (2) Each share of the issuer's Series B Convertible Preferred Stock will be converted into 1 share of the issuer's Common Stock immediately upon the closing of the issuer's initial public offering ("IPO") and has no expiration date.
- (3) Each share of the issuer's Series C Convertible Preferred Stock will be converted into 1 share of the issuer's Common Stock immediately upon the closing of the issuer's IPO and has no expiration date.
- (4) Each share of the issuer's Series A Convertible Preferred Stock will be converted into 1 share of the issuer's Common Stock immediately upon the closing of the issuer's IPO and has no expiration date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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