SS&C Technologies Holdings Inc

Form 4

December 02, 2015

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

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5. Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16.

Form 4 or

Form 5

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

12/01/2015

Kanwar Ra	ahul		Symbol SS&C [SSNC		ogies Hol	dings		ssuer (Check	all applicable	)	
(Mo				Day/Year)	Fransaction			Director 10% Owner X Officer (give title Other (specify below) Senior Vice President			
(Street) WINDSOR, CT 06095			4. If Amendment, Date Original Filed(Month/Day/Year)				A 	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	ole I - Non	-Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Date, if	3. Transacti Code (Instr. 8)	omr Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/30/2015			M	2,220	A	\$ 8.77	12,220	D		
Common Stock	11/30/2015			S	2,220	D	\$ 72.0094 <u>(1)</u>	10,000	D		
Common Stock	11/30/2015			M	47,506	A	\$ 8.77	57,506	D		
Common Stock	11/30/2015			S	47,506	D	\$ 72.0094	10,000	D		

M

10,494 A

\$ 8.77

20,494

D

Common Stock							
Common Stock	12/01/2015	S	10,494	D	\$ 72.0507	10,000	D
Common Stock	12/01/2015	M	42,500	A	\$ 14.53	52,500	D
Common Stock	12/01/2015	S	27,500	D	\$ 72.0507 (2)	25,000	D
Common Stock	12/01/2015	M	67,500	A	\$ 13.48	92,500	D
Common Stock	12/01/2015	S	67,500	D	\$ 72.0507	25,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 8.77	11/30/2015		M	2,220	(3)	08/09/2016	Common Stock	2,220
Stock Option (right to buy)	\$ 8.77	11/30/2015		M	47,506	<u>(4)</u>	08/09/2016	Common Stock	47,506
Stock Option (right to	\$ 8.77	12/01/2015		M	10,494	<u>(4)</u>	08/09/2016	Common Stock	10,494

buy)								
Stock Option (right to buy)	\$ 14.53	12/01/2015	M	42,500	<u>(5)</u>	03/23/2020	Common Stock	42,500
Stock Option (right to buy)	\$ 13.48	12/01/2015	M	67,500	<u>(6)</u>	10/03/2021	Common Stock	67,500

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kanwar Rahul

80 LAMBERTON ROAD Senior Vice President

WINDSOR, CT 06095

### **Signatures**

Paul G. Igoe, attorney-in-fact for Rahul Kanwar 12/02/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$72.00 to \$72.06. The (1) reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- The price reported is the weighted average of the shares sold. The shares were sold at varying prices in the range of \$72.00 to \$72.28. The (2) reporting person undertakes, upon request by the Staff of the Securities and Exchange Commission, or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- (3) The option is a "performance-based" option that vested in full on December 31, 2011.
- (4) The option is a "time-based" option that vested in full on November 23, 2009.
- (5) The option is a "time-based" option that vested in full on March 23, 2014.
- (6) The option is a "time-based" option that vested in full on October 3, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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