

RADIANT LOGISTICS, INC
Form 4
May 20, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HARRINGTON STEPHEN P

2. Issuer Name and Ticker or Trading Symbol
RADIANT LOGISTICS, INC
[RLGT]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
600 WEST GERMANTOWN
PIKE, SUITE 400
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/04/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

PLYMOUTH, PA 19462

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|---------------------------|
| | | | | Code V | Amount | (A) or (D) | Price \$ | | |
| Common Stock | 03/04/2016 | | S | 5,000 | D | 3.25 | 1,137,333 | I | SPH Investments, Inc. (2) |
| | | | | | | (1) | | | |
| Common Stock | 03/07/2016 | | S | 5,000 | D | 3.27 | 1,132,333 | I | SPH Investments, Inc. (2) |
| | | | | | | (3) | | | |
| Common Stock | 03/08/2016 | | S | 5,000 | D | 3.31 | 1,127,333 | I | SPH Investments, Inc. (2) |
| | | | | | | (4) | | | |
| Common | 03/10/2016 | | S | 5,000 | D | \$ 3.3 | 1,122,333 | I | SPH |

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| Stock | | | | | <u>(5)</u> | | | | Investments, Inc. <u>(2)</u> |
|-----------------|------------|---|--------|---|-------------------|------|-----------|---|--|
| Common Stock | 03/18/2016 | S | 5,000 | D | \$ <u>(6)</u> | 3.58 | 1,117,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 03/29/2016 | S | 5,000 | D | \$ <u>(7)</u> | 3.68 | 1,112,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 03/31/2016 | S | 5,000 | D | \$ 3.61 | | 1,107,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 04/19/2016 | S | 5,000 | D | \$ <u>(8)</u> | 3.91 | 1,102,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 04/20/2016 | S | 8,000 | D | \$ <u>(9)</u> | 4.06 | 1,094,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 04/21/2016 | S | 9,000 | D | \$ <u>(10)</u> | 3.97 | 1,085,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 04/22/2016 | S | 11,000 | D | \$ <u>(11)</u> | 4.11 | 1,074,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 04/25/2016 | S | 11,000 | D | \$ <u>(12)</u> | 4.08 | 1,063,333 | I | SPH Investments, Inc. <u>(2)</u> |
| Common Stock | 04/26/2016 | S | 6,000 | D | \$ 4.15 | | 1,057,333 | I | SPH Investments, Inc. <u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repor Trans |
|---|--|---|---|---|--|--|---|---|---|
|---|--|---|---|---|--|--|---|---|---|

of (D)
(Instr. 3,
4, and 5)

(Instr

| Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|------|---|-----|-----|---------------------|--------------------|-------|--|
|------|---|-----|-----|---------------------|--------------------|-------|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| HARRINGTON STEPHEN P 600 WEST GERMANTOWN PIKE SUITE 400 PLYMOUTH, PA 19462 | X | | | |

Signatures

/s/ Stephen P.
Harrington

05/09/2016

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.24 to \$3.27, inclusive. The reporting person undertakes to provide to the Issuer, any of the Issuer's security holders, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (1) and (3) through (12) of this Form 4.
 - (2) The shares are owned directly by SPH Investments, Inc., a corporation owned entirely by the Reporting Person.
 - (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.267 to \$3.275, inclusive.
 - (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.29 to \$3.33, inclusive.
 - (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.30 to \$3.31, inclusive.
 - (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.57 to \$3.595, inclusive.
 - (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.672 to \$3.68, inclusive.
 - (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.90 to \$3.93, inclusive.
 - (9) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.04 to \$4.09, inclusive.
 - (10) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$3.933 to \$4.00, inclusive.

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- (11) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.065 to \$4.172, inclusive.
- (12) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.00 to \$4.15, inclusive.

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