

WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP  
 Form 3  
 May 24, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |   |  |   |   |  |
|---|---|--|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Witt Ronald L.</p> <p>(Last) (First) (Middle)</p> <p>1001 AIR BRAKE AVENUE</p> <p>(Street)</p> <p>WILMERSDING, PA 15148</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/11/2016</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP [WAB]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>VP Group Executive</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|---|---|--|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock - 401(k)              | 1,121.36   | I   | 401(k)   |
| Common Stock - Direct              | 4,832  | D   | W  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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|                             | Date Exercisable          | Expiration Date | Title        | Amount or Number of Shares | Security   | Direct (D) or Indirect (I) (Instr. 5) |   |
|-----------------------------|---------------------------|-----------------|--------------|----------------------------|------------|---------------------------------------|---|
| Non Qualified Stock Option  | 03/01/2020 <sup>(1)</sup> | 02/09/2026      | Common Stock | 1,050                      | \$ 61.33   | D                                     | Â |
| Non-Qualified Stock Option  | 03/01/2017 <sup>(2)</sup> | 02/12/2023      | Common Stock | 700                        | \$ 48.29   | D                                     | Â |
| Non-Qualified Stock Option  | 03/01/2019 <sup>(3)</sup> | 02/10/2025      | Common Stock | 1,000                      | \$ 87.03   | D                                     | Â |
| Non-Qualified Stock Options | 03/01/2018 <sup>(4)</sup> | 02/11/2024      | Common Stock | 682                        | \$ 72.82   | D                                     | Â |
| Non-Qualified Stock Options | 03/01/2016                | 02/14/2022      | Common Stock | 350                        | \$ 35.2925 | D                                     | Â |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                      |       |
|---|---------------|-----------|----------------------|-------|
|   | Director      | 10% Owner | Officer              | Other |
| Witt Ronald L.<br>1001 AIR BRAKE AVENUE<br>WILMERDING, PA 15148 | Â             | Â         | Â VP Group Executive | Â     |

## Signatures

David L. DeNinno, POA for Ronald L. Witt 05/24/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option becomes exercisable as to 263 shares on each of March 1, 2017, and 2018 and as to 262 shares on each of March 1, 2019 and 2020.
- (2) The option is exercisable as to 350 shares on March 1, 2016 and becomes exercisable for the remaining 350 shares on March 1, 2017.
- (3) The option is exercisable as to 250 shares on March 1, 2016 and becomes exercisable as to 250 shares on each of March 1, 2017, 2018 and 2019.
- (4) The option is exercisable as to 228 shares on March 1, 2016 and becomes exercisable at to 227 shares on each of March 1, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.