3D SYSTEMS CORP Form 4 July 28, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Johnson Andrew Martin

2. Issuer Name and Ticker or Trading Symbol

3D SYSTEMS CORP [DDD]

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(City)

Common

Stock

(First)

333 THREE D SYSTEMS CIRCLE

(Street)

(State)

07/26/2016

(Middle)

(Zip)

3. Date of Earliest Transaction

Director

10% Owner

(Month/Day/Year)

07/26/2016

X\_ Officer (give title Other (specify below)

EVP, Chief Legal Officer & Sec

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ROCK HILL, SC 29730

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4) Reported

(A)

Transaction(s) (Instr. 3 and 4)

(D) Price

A

Code V Amount 50,000 A (1)

\$0 281,869 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Performance Based Restricted Stock	(2)	07/26/2016		A	20,000	<u>(2)</u>	(2)	Common Stock	20,00
Performance Based Restricted Stock	(3)	07/26/2016		A	20,000	<u>(3)</u>	<u>(3)</u>	Common Stock	20,00
Performance Based Stock Options (Right to Buy)	\$ 13.25	07/26/2016		A	80,000	<u>(4)</u>	07/26/2026	Common Stock	80,00
Performance Based Stock Options (Right to Buy)	\$ 13.25	07/26/2016		A	80,000	<u>(5)</u>	07/26/2026	Common Stock	80,00

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Johnson Andrew Martin 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730

EVP, Chief Legal Officer & Sec

# **Signatures**

/s/ Andrew M. 07/28/2016 Johnson

\*\*Signature of Date
Reporting Person

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Reporting Person has been awarded 50,000 shares of restricted stock under the Issuer's 2015 Incentive Plan. One-third of the total (1) shares awarded vest on July 26, 2017, an additional one-third of the total shares awarded vest on July 26, 2018, and the remaining shares awarded vest on July 26, 2019.
- The Reporting Person has been awarded 20,000 shares of restricted stock under the Issuer's 2015 Incentive Plan. These shares of (2) restricted stock vest, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$30.
- The Reporting Person has been awarded 20,000 shares of restricted stock under the Issuer's 2015 Incentive Plan. These shares of (3) restricted stock vest, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$40.
- The Reporting Person has been awarded 80,000 options under the Issuer's 2015 Incentive Plan. These options to purchase the shares of common stock at an exercise price equal to the closing price of the common stock on July 26, 2016 are exercisable, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$30.
- The Reporting Person has been awarded 80,000 options under the Issuer's 2015 Incentive Plan. These options to purchase the shares of common stock at an exercise price equal to the closing price of the common stock on July 26, 2016 are exercisable, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$40.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.